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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

P 97 194.024

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

08/952001

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/US94/08087

July 13, 1994

TITLE OF INVENTION

JOINT ASSEMBLY EMPLOYING MULTI-RING GASKET

APPLICANT(S) FOR DO/EO/US

Ronald L. Carr

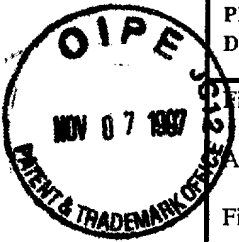
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

U.S. APPLICATION NO (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO PCT/US94/08087		ATTORNEY'S DOCKET NUMBER P 97 194 024	
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Search Report has been prepared by the EPO or JPO \$910.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$720.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$770.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1040.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				CALCULATIONS PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	54 - 20 =	34	X \$22.00	\$ 748.00	
Independent claims	7 - 3 =	4	X \$82.00	\$ 328.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 1796.00	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$	
SUBTOTAL =				\$ 898.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 898.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$ 938.00	
				Amount to be: refunded	\$
				charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>938.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-2451</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
<div style="margin-bottom: 5px;"><i>William A. Birdwell</i></div> SIGNATURE:					
William A. Birdwell					
NAME 27,181					
REGISTRATION NUMBER					



PETITION FOR REVIVAL OF AN INTERNATIONAL APPLICATION FOR PATENT
 DESIGNATING THE U.S. ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)

Docket Number (Optional)

P 97 194.024

First named inventor: **Ronald L. Carr**

Application No.: **PCT/US94/08087**

Group Art Unit:

Filed: **July 13, 1994**

Examiner:

Title: **JOINT ASSEMBLY EMPLOYING MULTI-RING GASKET**

RECEIVED

24 JUN 1998

**Legal Staff
 International Division**

Attention: International Division, Legal Staff
 Box PCT
 Assistant Commissioner for Patents
 Washington, D.C. 20231

The above-identified application became abandoned as to the United States because the elements noted at 35 U.S.C. 371(c) were not filed prior to the expiration of the applicable time limit noted at 37 CFR 1.494(b) or (c) or 37 CFR 1.495(b) or (c). The date of abandonment is **January 14, 1997** (i.e., the day after the date on which the 35 U.S.C. 371(c) requirements were due; see 37 CFR 1.494(h) or 1.495(i)).

APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION

NOTE: A grantable petition requires the following items:

- (1) Petition fee
- (2) Proper response
- (3) Verified statement that the abandonment was unintentional

1. Petition fee

- ☒ Small entity - fee \$ **660** (37 CFR 1.17(m))
☒ Small entity statement enclosed herewith.
☐ Small entity statement previously filed.
☐ Other than small entity - fee \$ _____ (37 CFR 1.17(m))

2. Proper response

A. The proper response (the missing 35 U.S.C. 371(c) requirements) in the form of _____ (identify type of response):

- ☐ has been filed previously on _____
☒ is enclosed herewith.

Burden Hour Statement: This form is estimated to take 1 hour to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

3. Verified statement that abandonment was unintentional

This application became abandoned unintentionally.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

11/7/97
Date

William A. Birdwell
Signature

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Typed or printed name
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Address

Portland, OR 97204

Enclosures: ☒ Response
☒ Fee Payment
☒ Small Entity Status Form
☐ _____

Certification under 37 CFR 1.10 (if applicable)

EI054274555US

"Express Mail" label number

11/7/97
Date of Deposit

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Barbara G. Kulin
Typed or printed name of person mailing correspondence

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PCT/US94/08087 7 NOV 1997

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08/952001

JOINT ASSEMBLY EMPLOYING MULTI-RING GASKETBackground of the Invention

This invention relates to flanged pipe joint assemblies, and particularly to gaskets for sealing the joint between two pipes of a flanged pipe assembly, assemblies employing such gaskets, and assemblies which permit monitoring and control of the quality of the seal provided by such gaskets.

In the construction of industrial facilities, such as pulp and paper mills and chemical plants, there is usually a need to employ pipe to convey fluids and fluid-like mixtures throughout the facility. Since these materials are typically reactive, modern practice favors the use of polyvinylchloride ("PVC"), chlorinated polyvinylchloride ("CPVC"), fiberglass-reinforced plastic ("FRP") polyvinylidene fluoride ("PVDF"), polypropylene ("PP") or other essentially chemically inert, generally nonmetallic pipe.

The pipe used in such facilities includes flanges at the ends of the pipe sections for assembling sections of pipe together at a joint, as is commonly understood in the art. Typically, a gasket is placed between the flanges, and the flanges are forcibly held together by bolts inserted through apertures disposed in the flanges and gaskets, or around the gaskets. When the flanges are forcibly drawn together by the bolts, uneven stress distributions around the flange tend to weaken, and ultimately break, the flanges. While PVC, CPVC, FRP, PVDF, PP and other nonmetallic pipe used in such installations have the advantage of durability in the caustic and acidic environments of the reactive materials which flow through the pipes, their flanges are particularly susceptible to breakage due to uneven stress distributions.

The gasket provides a seal between the flanges of the pipe sections to contain within the pipe the reactive materials conveyed therethrough. However, gaskets generally

allow some fugitive emissions of the reactive materials from the pipe. Moreover, gaskets tend to degrade over time, and ultimately fail, which can lead to substantial fugitive emissions. To minimize fugitive emissions, joint assemblies are typically monitored regularly on an assembly-by-assembly basis. In addition, laws and regulations increasingly limit the release into the environment of fugitive emissions of a wide variety of materials and require the monitoring of such emissions. Such laws and regulations typically impose significant fines and other sanctions for failure to comply therewith.

Accordingly, there is a need for a flanged, plastic pipe joint assembly which employs a gasket that, when the flanges are forcibly drawn together, minimizes the uneven distribution of stress around the flanges so as to minimize the likelihood of damage thereto and which controls fugitive emissions and allows for monitoring the release of fugitive emissions, either on a regular or continuous basis.

Summary of the Invention

The present invention meets the aforementioned need for an improved flanged plastic pipe joint assembly by providing a gasket made of a substantially flat, chemically inert material which, in one embodiment, has an inner ring disposed adjacent the pipe opening in the flange, an outer ring disposed adjacent the outer periphery of the flange and a plurality of spokes joining the inner ring to the outer ring. The flanges are forcibly drawn together by a plurality of bolts typically disposed around the flanges and in apertures therethrough. A spoke is provided for each of the bolts, the spokes themselves having respective apertures for receiving the bolts. The remainder of the space between the flanges preferably is void; that is, preferably no gasket material exists in that remaining space. However, more than two rings may be used, and a portion of gasket material thinner than the rings and spokes may be disposed in the space between the rings and the spokes.

In another embodiment, at least one flange of the joint assembly has an annular groove disposed in an inside surface of the flange adjacent the gasket, between the gasket's inner ring and outer ring. The flange also has a channel therethrough in communication with the groove and, by means of a port, with an outside surface of the flange. The port, preferably has predetermined dimensions so as to allow insertion therein of a fitting through which fluids or other materials may be selectively transmitted or detected, or both, by a tapping mechanism.

Other gasket embodiments introduce additional features, including having three rings so that the gasket may be used with more than one size of flange; having a substantially inset outermost ring so that the gasket may be used with a flange having a mating surface interior of the bolts employed to draw the flanges together; having spokes that do not encircle the bolts but that provide for aligning the gasket with respect to the bolts and the flanges that the bolts hold together; having shelves adjacent the spokes so as to align the gasket with more than one size flange; having an outer periphery that is at least partially rectilinear; having one or more peripheral notches for receiving a thickness gauge so that, when the gasket is installed, the spacing between two opposed flanges may be measured so as to determine the compression of the gasket; and having a tab for manipulating the gasket in the installation thereof.

Accordingly, it is a principle object of the present invention to provide a novel and improved flanged pipe joint assembly and a novel and improved gasket for use in the assembly.

It is another object of the present invention to provide such a gasket that minimizes the uneven distribution of stress around the flanges from the forcible drawing together of the flanges by fasteners.

It is a further object of the present invention to provide such a gasket wherein a portion of the space between

two adjoining flanges of respective pipes at the junction thereof is substantially unoccupied by gasket material.

It is yet another object of the present invention to provide such a joint assembly that provides for detection and control of fugitive emissions in the joint assembly.

It is yet a further object of the present invention to provide such a joint assembly that provides for monitoring releases of fugitive emissions into the environment by detecting fugitive emissions in the joint assembly.

It is another object of the present invention to provide such a joint assembly that provides for selectively introducing inert gas to pressurize the gasket assembly and, thereby, prevent fugitive emissions from leaving the pipe.

It is a further object of the present invention to provide such a joint assembly that selectively removes fugitive emissions that are detected in the joint assembly, minimizing release thereof into the environment.

It is yet another object of the present invention to provide a gasket for use in a joint assembly wherein the mating surfaces of the flanges are of different outer diameters, so as to join the pipes on center to maximize laminar flow.

It is yet a further object of the present invention to provide a gasket that can be used with flanges of different outer diameters.

It is another object of the present invention to provide a gasket that may be used with a flange having a mating surface that is interior of the bolts that hold flanges together.

It is a further object of the present invention to provide a gasket alignable with respect to the bolts that hold flanges together without gasket material encircling one or more of the bolts.

It is still a further object of the present invention to provide a gasket that is removably installable in a joint assembly without removal of all bolts holding the assembly together.

It is yet another object of the present invention to provide such a joint assembly that provides for determining the compression of the gasket installed between two opposed flanges.

5 It is yet a further object of the present invention to provide a gasket that provides for readily manipulating the gasket in the installation thereof.

10 It is another object of the present invention to provide such a gasket that may be used with more than one size bolt pattern, such as different diameter bolt circles.

15 The foregoing and other objects, features, and advantages of the invention will be more readily understood upon consideration of the following detailed description of the invention, taken in conjunction with the accompanying drawings.

Brief Description of the Drawings

Figure 1 shows a top view of a conventional flanged pipe joint gasket.

20 Figure 2 shows a side view of a flanged pipe joint assembly employing a conventional gasket of the type shown in Figure 1.

25 Figure 3 shows a force diagram for a flanged pipe joint assembly employing a conventional gasket, the force being shown along a line passing through two adjacent bolts of the assembly as shown with respect to a conventional gasket by line A-A in Figure 1.

Figure 4 shows a top view of a gasket according to the present invention.

30 Figure 5 shows a side view of a flanged pipe joint assembly employing a gasket according to the present invention.

35 Figure 6 shows a force diagram for a flanged pipe joint assembly employing a gasket according to the present invention, the force being shown along a line passing through two adjacent bolts of the assembly as shown with

respect to a gasket according to the present invention by line B-B in Figure 4.

Figure 7 is a graph of pressure on a conventional gasket, the pressure required to seal a gasket made of a preferred gasket material, and pressure on a gasket according to the present invention, as a function of position between two adjacent bolts.

Figure 8 shows a side view of an alternative embodiment of a flanged pipe joint assembly according to the present invention, having a valve assembly attached thereto.

Figure 9 shows a top sectional view of the flanged pipe joint assembly of Figure 8, taken along line 9-9 thereof.

Figure 10 shows an enlarged side view of a portion of the flanged pipe joint assembly of Figure 8, in partial section.

Figure 11 shows a top view of a second embodiment of a gasket having three rings according to the present invention.

Figure 12 shows a top view of a third embodiment of a gasket having an inset outer ring according to the present invention.

Figure 13 shows a top view of a fourth embodiment of a gasket having an inset outer ring and open alignment spokes according to the present invention.

Figure 14 shows a top view of a fifth embodiment of a gasket having a partially rectilinear periphery according to the present invention.

Figure 15 shows a top view of a sixth embodiment of a gasket having a partially rectilinear periphery and open alignment spokes according to the present invention.

Figure 16 shows a top view of a seventh embodiment of a gasket having a single ring with peripheral thickness gauge notches therein according to the present invention.

Figure 17 shows a top view of an eighth embodiment of a gasket having a single ring with peripheral thickness gauge notches therein according to the present invention.

Figure 18 shows a top view of a ninth embodiment of a gasket having thickness gauge notches in the outside of an outer ring and corresponding convexities on the inside of the outer ring according to the present invention.

5 Figure 19 shows a top view of a tenth embodiment of a gasket having an inset outer ring, open alignment spokes, thickness gauge notches and reinforcement spokes between an inner ring and the outer ring at the location of the thickness gauge notches according to the present invention.

10 Figure 20 shows a top view of an eleventh embodiment of a gasket having an inset outer ring, closed alignment spokes, peripheral thickness gauge notches and reinforcement spokes between an inner ring and the outer ring at the location of the thickness gauge notches according to the present invention.

15 Figure 21 shows a top view of a twelfth embodiment of a gasket having an inset outer ring, open alignment spokes, one combined closed alignment spoke and tab, thickness gauge notches and reinforcement spokes between an inner ring and the outer ring at the location of the thickness gauge notches according to the present invention.

20 Figure 22 shows a top view of a thirteenth embodiment of a gasket having an inset outer ring, open alignment spokes, thickness gauge notches in the outside of the outer ring and corresponding convexities on the inside of the outer ring according to the present invention.

25 Figure 23 shows a top view of a fourteenth embodiment of a gasket having an inset outer ring, open alignment spokes, a combined closed alignment spoke and tab, alignment shelves on the open alignment spokes, thickness gauge notches in the outside of the outer ring and reinforcement spokes between an inner ring and the outer ring at the location of the thickness gauge notches according to the present invention.

30 Figure 24 shows a top view of the embodiment of the gasket of Figure 23 superimposed on two different size flanges.

Figure 25 shows a top view of a fifteenth embodiment of a gasket having an inset outer ring, open alignment spokes, a combined closed alignment spoke and tab, alignment shelves on the alignment spokes, thickness gauge notches in the outside of the outer ring and reinforcement spokes between an inner ring and the outer ring at the location of the thickness gauge notches according to the present invention.

Figure 26 shows a top view of a sixteenth embodiment of a gasket having an inset outer ring, open alignment spokes, a closed alignment spoke, a combined closed alignment spoke and tab, alignment shelves on the alignment spokes, thickness gauge notches in the outside of the outer ring and reinforcement spokes between an inner ring and the outer ring at the location of the thickness gauge notches according to the present invention.

Figure 27 shows a top view of a seventeenth embodiment of a gasket having an outer ring and an inner ring, thickness gauge notches in the outside of the outer ring and reinforcement spokes between the inner ring and the outer ring at the location of the notches according to the present invention.

Figure 28 shows a top view of an eighteenth embodiment of a gasket having an outer ring and an inner ring, thickness gauge notches in the outside of the outer ring and corresponding convexities on the inside of the outer ring according to the present invention.

Figure 29 shows a top view of a nineteenth embodiment of a gasket having a single ring, a plurality of closed alignment spokes and a plurality of thickness gauge notches in the outside of the ring according to the present invention.

Detailed Description of the Invention

Turning first to Figure 1, a conventional gasket 22 for a flanged pipe joint assembly typically is annular in shape and has a plurality of apertures 11 for receiving bolts or other fasteners. The inside diameter 13 is substantially

equal to the inside diameter of a pipe with which the gasket is to be used, and the outside diameter 15 is substantially equal to the outside diameter of a flange with which the gasket is to be used. Such a gasket may be made of a variety of different materials.

A conventional flanged pipe joint assembly is shown in Figure 2, wherein a first pipe 10, having a flange 12, is connected to a second pipe 14, having a flange 16, by a plurality of fasteners. The fasteners are typically bolts 18, disposed in circular apertures (not shown) through flanges 12 and 16, and fastened in place by nuts 20, as is commonly understood in the art. A conventional gasket 22, whose apertures 11 correspond to the apertures in the flanges, is disposed between the flanges.

A similar joint assembly is used to connect a flanged pipe to a blind flange, i.e., a flange for closing off the end of a pipe, and to a nozzle, i.e., a flanged outlet from a tank or other container. As used herein, the term "pipe flange", or just "flange", is intended to comprehend blind flanges and nozzle flanges as well as flanges on the end of a pipe or valve.

As can be seen in Figure 2, when the nuts 20 are tightened onto the bolts 18 so as to forcibly draw the flanges 12 and 16 together, the gasket 22 is compressed in the areas directly beneath the bolts at points A, but resists compression at points B, and thereby causes deformation of the flanges. This is particularly so where the rigidity of the pipe flange is similar to the compressibility of the gasket material, as in the use of PVC, CPVC, FRP, PVDF, PP, and other nonmetallic pipe.

This can be seen more clearly in Figure 3 where one flange 12, made of FRP, and one-half of the conventional gasket 22 is illustrated in a force diagram. The bolts 18 are located at positions A, and the flange is most deformed at positions B. The arrows 23 show a typical distribution of force exerted by the flange 12 on the conventional gasket 22 in a state of equilibrium along a line passing through

two adjacent bolts of the assembly. It can be seen that, due to the distribution of forces on the flange 12, shown by the arrows 23, the flange undergoes a bending moment, which can cause the flange to distort and eventually break.

5 Indeed, the flange is substantially distorted at points B.

Turning to Figure 4, a joint assembly according to the present invention has a gasket 24 that comprises an inner ring 26, an outer ring 28 and a plurality of spokes 30 extending between the inner ring and the outer ring. The
10 inner ring comprises a strip of material formed in a substantially-continuous, annular loop whose outer periphery is greater in diameter than the inner diameter of the flanges. That is, it must be greater than the inner diameter of the pipes or the nozzle and pipe connected
15 thereto, or the pipe to which a blind flange or valve is connected. The outer ring comprises a strip of material formed in a substantially-continuous, annular loop whose inner periphery is greater in diameter than the outer periphery of the inner ring but less than the diameter of
20 the outer periphery of the flanges. Ordinarily, the inside diameter 31 of the inner ring 26 is substantially equal to the inside diameter of a pipe with which the gasket is to be used, and the outside diameter 33 of the outer ring 28 is substantially equal to the diameter of the outer periphery
25 of the flanges.

The spokes have apertures 32 therein for receiving bolts, and the spaces 34 between the inner ring, outer ring and spokes preferably are void. For example, about 40 percent of the area between the two flanges may be separated
30 by voids in the gasket. However, it is to be recognized that, rather than making that area entirely void, gasket material significantly thinner than that which forms the inner ring, outer ring and spokes could be used without departing from the principles of the present invention. It
35 is also to be recognized that more than two concentric rings may be used without departing from the principles of the invention.

The gasket may be made of a substantially flat, relatively chemically inert, compressible, and resilient material such as the synthetic polymer marketed under the trademark TEFLON by E.I. DuPont De Nemours and Company.

5 Preferably, it is made of the product marketed by W. L. Gore & Associates under the trademark GORE-TEX GR SHEET. However, it is to be recognized that other gasket material may be used without departing from the principles of the invention.

10 There are some important characteristics of preferred gasket or sealing material. One such characteristic is that the gasket material has a hardness less than at least one flange so that the gasket material compresses when the flanges are drawn together and expands to maintain a seal
15 when the space between the flanges increases. Preferably, the gasket material should have a durometer less than 95, as measured by a durometer having a Shore A scale ranging from zero to one hundred. It has been found that material having a durometer of about 55-70 works particularly well with PVC, CPVC, FRP, PVDF, PP and other nonmetallic pipe flanges.
20 Another desirable characteristic of the material is that it has the property of compressing in the direction of applied compressive force without substantial expansion lateral thereto.

25 When the gasket 24 is placed in a joint assembly, as shown in Figure 5, those portions of the flanges 12 and 16 which are disposed adjacent the void spaces 34 do not experience any resistance to movement in the direction of one another and do not experience significant bending
30 moments. Rather, the gasket as a whole generally becomes evenly compressed and the flanges 12 and 16 are not distorted.

35 Thence, the force diagram for the pipe joint assembly employing a gasket according to the present invention is shown in Figure 6. Only one flange, made of FRP, and one-half of the gasket 24 are shown. The arrows 25 illustrate the distribution of force exerted by the flange 12 on the

gasket 24 of the invention in a state of equilibrium along a line passing through two adjacent bolts of the assembly. As can be seen, there is no significant distortion of the flanges at points B.

5 In reality, even with the gasket 24, there is likely to be some distortion of the flanges at the periphery thereof. In applications using gasket 24, this is due to the presence of the outer ring 28. However, there should be very little distortion in comparison to the use of the conventional
10 gasket shown in Figure 1. Since the inner ring of gasket 24 is located adjacent the openings of pipes 10 and 14, there will be virtually no distortion in that area, as a practical matter. In any event, the bending moments and distortion of the flanges is greatly reduced relative to use of
15 conventional gaskets, and the reliability of the joint assembly is thereby greatly enhanced by the use of the gasket according to the present invention.

An advantage of a gasket according to the present invention is that a greater amount of pressure can be
20 applied by the fastening bolts than with the use of a conventional gasket. Figure 7 shows, at line 35, a graph of the maximum pressure that can ordinarily be applied with a conventional gasket made of GORE-TEX GR SHEET material, as a function of position between two adjacent bolts, the marks
25 along the horizontal axis representing 0.25 inch increments of distance for a 6 inch diameter flange. It can be seen that, while a maximum pressure of about 2300 psi may be applied at the bolts, that results in only about 1300-1500 psi over most of the distance between the bolts.

30 Line 37 shows the minimum pressure that must be applied to guarantee a seal using GORE-TEX GR SHEET material. This material is highly desirable to use because of its chemically inert property. Yet, that amount of pressure cannot be applied to a gasket of conventional design without
35 damaging the flanges because of the non-uniform distribution of pressure and resulting distortion of the flanges.

Line 38 shows a graph of pressure applied with a gasket according to the present invention. GORE-TEX GR SHEET material allows a pressure in excess of 3000 psi at the bolts, and the gasket of the present invention distributes the pressure more evenly over the portions of the flanges between the bolts and over the rings. In the example shown, the minimum pressure between the bolts is about 2945 psi. As a result, a much more effective seal can be achieved by the gasket of the present invention. In particular, due to the minimal distortion of the flanges, sufficient pressure can be applied to guarantee a seal initially.

In addition, an important feature of the multi-ring gasket of the present invention is that if the inner ring ruptures, the outer ring still prevents hazardous material from escaping until the gasket can be replaced.

Turning to Figures 8, 9 and 10, an alternative embodiment of a joint assembly according to the present invention includes a groove 50 and a channel 51 formed in at least one flange 12 or 16. The groove 50 is disposed in an inside surface 52 of the respective flange 12 or 16 adjacent the gasket 24, between the outer periphery of the gasket's inner ring 26 and the inner periphery of the gasket's outer ring 28. As shown in Figure 9, the groove 50 preferably is disposed so as to be in communication with the gasket's spaces 34 but not with either the apertures 11 through the flanges 12 and 16, the fasteners inserted therein or the apertures 32 of the gasket's spokes 30. The groove 52 preferably is annular, although other shapes may be used without departing from the principles of the invention.

The channel 51 is disposed through the respective flange 12 or 16 and is in communication, at the first end thereof, with the groove 50 and, at the second end thereof, with an outside surface 54 of the flange. The channel 51 has a port 56 disposed at the second end thereof. The port 56 has shape and dimensions selected so as to connect a tapping mechanism 57 thereto, the tapping mechanism 57 providing for selective transmission of fluids or other

materials into or out of the channel 51 and groove 50, or for detection of fluids or materials therein, or both. Preferably, the port 56 comprises a cylindrical aperture of predetermined diameter and depth so that the tapping mechanism 57 may be connected by a fitting 58 inserted in the port 56. As shown, the fitting 58 is threaded so as to self-tap into the port 56, forming a seal that substantially prevents release of fluids or other materials into the environment. It is to be recognized, however, that the tapping mechanism 57 may be connected using means other than a self-tapping fitting, without departing from the principles of the invention.

The mechanism 57, in one embodiment, comprises a valve 60 and tubing 62. The valve 60 is connected to the fitting 58 and to a main tube 64 by tubing 62. The valve 60 may be adjusted to transmit fluids and other materials selectively into or out of the channel 51 and the groove 50 through the tubing 62 and the main tube 64. The main tube 64 preferably is connected to a device 66 that detects, sources, or removes fluids or other materials in the groove 50 and channel 51, or performs some combination thereof, operating in combination with the tapping mechanism 57. Although, as shown, the tapping mechanism 57 and the device 66 are separate, it is to be recognized that they may be a single unit without departing from the principles of the invention. It is preferred, however, to have the device 66 separate so as to connect thereto, by one or more main tubes 64, a plurality of joint assemblies 68, each having a respective tapping mechanism 57, as shown in Figure 8. It is also preferred that each tapping mechanism 57 and the device 66 are individually controlled from a central location so that each joint assembly 68 can be monitored for fugitive emissions individually and substantially continuously. In this manner, the device 66 may selectively (i) source fluids or other materials, such as inert gas, to pressurize the gasket 24, either to prevent fugitive emissions from entering the gasket or to drive any emissions present back

into the pipe 10, (ii) provide a pressure gradient so as to remove fluids or other materials, including fugitive emissions, from the gasket 24 through the tapping mechanism 57, tubing 62 and main tube 64, (iii) detect fugitive emissions, for example by detecting the pressure or changes in pressure within the joint assembly, (iv) detect the pressure of the fluids or other materials introduced into the joint assembly, or (v) combinations of the above.

A number of additional embodiments of the present invention are shown in Figures 11 through 29. These embodiments introduce several further features, including: a three ring gasket for use with more than one size of flange; a gasket having a substantially inset outermost ring for use with a flange whose mating surface is interior of the bolts that hold two flanges together; a gasket having open alignment spokes that do not encircle the bolts that hold two flanges together; a gasket having an outer periphery that is at least partially rectilinear; a gasket having one or more peripheral notches for receiving a thickness gauge to measure the spacing between two opposed flanges so as to determine the compression of the installed gasket; a gasket having shelves adjacent the alignment spokes to align the gasket with more than one size flange; a gasket having a tab for manipulating the gasket in the installation thereof; and a gasket having one or more closed alignment spokes that include apertures for receiving bolts, the apertures being radially elongate so as to accommodate different size bolt patterns, such as different diameter bolt circles.

Referring to Figure 11, a gasket 70 for use with more than one size of flange comprises an inner ring 72, a first outer ring 74, a second outer ring 76, a plurality of inner spokes 77 extending between the inner ring 72 and the first outer ring 74 and a plurality of spokes 78 extending between the first outer ring 74 and the second outer ring 76. The alignment spokes 78 have apertures 80 therein for receiving bolts. The spaces 82 between the first outer ring 74, the second outer ring 76, and the alignment spokes 78 are void.

The spaces 84 between the first outer ring 74, the inner ring 72, and the inner spokes 77 are also void. The outside diameter 86 of the first outer ring 74 is substantially equal to the diameter of the outer periphery of a first selected flange. The outside diameter 88 of the second outer ring 76 is substantially equal to the diameter of the outer periphery of a second selected flange. Thence, the gasket 70 can be used with the first flange or the second flange.

Figure 12 shows a third gasket embodiment 90. It is substantially similar to the embodiment of Figure 11, except that it has no second outer ring and, thereby, has an outermost ring that, in use, is substantially inset from the bolts surrounding the gasket.

Figure 13 shows a fourth gasket embodiment 92. It is substantially similar to the third gasket embodiment 90, except that it has open alignment spokes 94, thereby providing alignment concavities 96 which, in use, are placed adjacent, including resting against, the bolts surrounding the gasket. Open alignment spokes 94 also generally provide for removably installing the gasket 92 in a joint assembly without removal of all such bolts; for this gasket embodiment 92 only as many as half the bolts need to be removed in an installation.

A fifth gasket embodiment 98 is shown in Figure 14. Gasket 98 is substantially similar to gasket 90 in Figure 12, except that gasket 98 has an outer ring 100 whose periphery is partially rectilinear. More particularly, gasket 98 has a square periphery except for the alignment spokes 78, though it is to be recognized that other rectilinear shapes, or substantial polygons, could be used.

A sixth gasket embodiment 102, shown in Figure 15, is substantially similar to the gasket 98 shown in Figure 14, except that it has open alignment spokes 104, which define respective open alignment concavities 106.

Figure 16 shows a seventh gasket embodiment 108. Gasket 108 has a single ring 109 and apertures 111 disposed

therein for receiving bolts. Gasket 108 has an outside diameter 110 which is substantially equal to the diameter of the outer periphery of a selected flange. Gasket 108 also has a plurality of notches 112 in its outer periphery for receiving thickness gauges when the gasket is installed between two flanges. By inserting thickness gauges into the notches 112, the spacing between the two flanges can be determined, which is a measure of the amount of compression of the gasket 108. This is an important measurement for installing the gasket with an appropriate amount of compression, for testing the adequacy of the joint periodically and for ensuring that the flange mating surfaces are properly aligned. While the notches 112, as shown, are substantially concave, it is to be recognized that the notches may have other shapes without departing from the principles of the invention.

An eighth gasket embodiment 114, shown in Figure 17, is substantially similar to the gasket 108 in Figure 16, except that the outside diameter 116 of gasket 114 is less than the diameter 118 of the outer periphery of a selected flange 120. As shown, the gasket 114, when installed, fits within the pattern formed by the bolts 122 which hold two such flanges together and, accordingly, has no apertures for receiving bolts.

A ninth gasket embodiment 124 is shown in Figure 18. Gasket 124 is substantially similar to gasket 24 in Figure 4, except that the outer ring 126 of gasket 124 has notches 112 in the outside thereof and corresponding convexities 128 on the inside thereof. The notches 112, as previously described, are for receiving thickness gauges. The convexities 128 maintain the strength of the outer ring 126 at the locations of the notches 112.

The tenth gasket embodiment 130, shown in Figure 19, is substantially similar to the gasket 92 in Figure 13 in that it has an inset outer ring 132, inner ring 72, inner spokes 77 and open alignment spokes 134 defining alignment concavities 96, while also being similar to the gasket 124

in Figure 18 in that it has notches 112 in the outside of its outermost ring, ring 132. In addition to the open alignment spokes 134 and inner spokes 77, gasket 130 also has reinforcement spokes 135 disposed at the locations of the notches 112 so as to extend between the inner ring 72 and the outer ring 132, thereby maintaining the strength of the gasket at the notches 112. While the open alignment spokes 134 as shown are somewhat shorter than the open alignment spokes 94 shown in Figure 13, for instance to accommodate a larger diameter flange, it is to be recognized that the open alignment spokes 134 may be of any length suitable for the installation.

Figure 20 shows an eleventh gasket embodiment 136, which is substantially similar to the gasket 130 shown in Figure 19, except that it has closed alignment spokes 138 having apertures 145 therein for receiving bolts. The apertures 145 preferably are radially elongate so as to accommodate bolts having various dispositions relative to the flanges, i.e., having different size bolt patterns, such as different diameter bolt circles.

Figure 21 shows a twelfth gasket embodiment 140, which is substantially similar to the gasket 130 shown in Figure 19, except that it has open alignment spokes 134 and one combined closed alignment spoke 142 and tab 144. The tab 144 preferably extends well beyond the bolts so that it can be used to manipulate, position and align the gasket 140 during installation. Spoke 142 has an aperture 145 which, as previously described, preferably is radially elongate.

The thirteenth gasket embodiment 146 shown in Figure 22 is substantially similar to the gaskets 92 and 124 shown in Figures 13 and 18, respectively, in that it has an inset outer ring 148 with notches 112 in the outside thereof and corresponding convexities 128 on the inside thereof, and has open alignment spokes 134.

The fourteenth gasket embodiment 150 shown in Figure 23 is a two ring gasket which combines the features of an inset outer ring 152, an inner ring 72, inner spokes 77, notches

112 in the outside of the outer ring 152, reinforcement spokes 154 disposed between the inner ring 72 and the outer ring 152 at the locations of the notches 112, open alignment spokes 156 defining alignment concavities 158, and one
5 combined closed alignment spoke 160 and tab 144. The closed alignment spoke 160 has an aperture 145 which, as previously described, preferably is radially elongate. The gasket 150 also has pairs of centering shelves 162, each associated with a respective alignment spoke 156 or 160.

10 In Figure 24, gasket 150 is shown superimposed on two different, concentrically illustrated flanges, 164 and 166, having outer diameters 168 and 170, respectively. The outside diameter of the outer ring 152 of gasket 150 is substantially equal to the diameter 170 of the flange 166.
15 The diameter of a circle defined by the shelves 162 is substantially equal to the diameter 168 of the flange 164. Consequently, gasket 150 can be centered to either size flange, either by the outside of the gasket's outer ring 152 or by the centering shelves 162, depending on which size
20 flange is being used. Flange 166 also includes a groove 50, which has pressure equal to that within the voids between ring 72 and ring 152.

The fifteenth gasket embodiment 172 shown in Figure 25 is substantially similar to the gasket 150 of Figure 23,
25 except that it includes a greater plurality of (i) open alignment spokes 156, and associated concavities 158, centering shelves 162 and inner spokes 77, and (ii) notches 112, and associated reinforcement spokes 154. It is to be recognized that any number of alignment spokes, together
30 with associated structure, and whether the spokes are open or closed, may be used, without departing from the principles of the invention, provided that number is suitable for the installation. It is also to be recognized that any number of notches 112, and associated structure,
35 may be used without departing from the principles of the invention, provided that number allows for measuring gasket thickness in a manner suitable for the installation.

The sixteenth gasket embodiment 174 shown in Figure 26 is substantially similar to the gasket 172 of Figure 25, except that it replaces one of the plurality of open alignment spokes 156 with a closed alignment spoke 176, the spoke 176 being shown without a tab 144 but having an aperture 177 for receiving a bolt. Closed alignment spoke 176 serves as a pivot for gasket 174 when it is being aligned by manipulation of the tab 144.

The seventeenth gasket embodiment 178 shown in Figure 27 is substantially similar to the gasket 136 in Figure 19 except that its spokes 180 are not adapted for alignment. The eighteenth embodiment 182 shown in Figure 28 is substantially similar to the gasket 146 in Figure 22, except that its spokes 184 are not adapted for alignment. Both gasket 178 and gasket 182 are for use in a connection where the fasteners, such as bolts, lie entirely outside the outer ring of the gasket.

Figure 29 shows a nineteenth embodiment 184 of a gasket. It is substantially similar to the gasket 136 of Figure 20, except that it has a single ring 186.

The terms and expressions which have been employed in the foregoing specification are employed therein as terms of description and not of limitation, and there is no intention in the use of such terms and expressions of excluding equivalents of the features shown and described or portions thereof, it being recognized that the scope of the invention is defined and limited only by the claims which follow.

AMENDED CLAIMS

[received by the International Bureau on 13 November 1995(13.11.95);
original claims 1 - 36 replaced by amended claims 1 - 42 (9 pages)]

1. A gasket for providing a seal at the joint between a pair of pipe flanges for connecting one flange to the other, each flange having an inner periphery and an outer periphery, the joint having a plurality of fasteners disposed around said flanges, comprising:

a first strip of sealing material formed in a substantially continuous loop having a predetermined shape, said strip having an inner periphery and an outer periphery, at least one of said inner and outer peripheries being substantially congruent with one of the peripheries of at least one flange; and
at least one spoke of said sealing material attached to said first strip so as to extend outwardly therefrom, said spoke having an alignment edge for placement adjacent a fastener.

2. The gasket of claim 1, wherein said alignment edge is curved.

3. The gasket of claim 1, wherein said spoke includes a curved aperture formed therein, said alignment edge comprising a portion of the edge of said curved aperture.

4. The gasket of claim 3, wherein said curved aperture is elongate in a radial dimension.

5. The gasket of claim 1, wherein said spoke includes a tab portion extending beyond the outer peripheries of the flanges.

6. The gasket of claim 5, wherein said tab portion includes identification data disposed thereon.

5 7. The gasket of claim 1, wherein said first strip includes at least one notch in said outer periphery thereof.

 8. The gasket of claim 1, wherein said outer periphery of said first strip is partially rectilinear.

10 9. The gasket of claim 8, wherein said spoke is defined by said sealing material disposed at the intersection between two linear portions of said partially rectilinear periphery.

15 10. The gasket of claim 1, wherein said sealing material is resilient and has a hardness less than the hardness of at least one of said flanges so that said sealing material compresses when the flanges are drawn together and expands to maintain a seal when the space between the flanges increases.

20 11. The gasket of claim 1, wherein said sealing material compresses in the direction of applied compressive force when the flanges are drawn together without substantial expansion lateral thereto.

25 12. The gasket of claim 1, wherein said sealing material is resilient and has a hardness characterized by a durometer less than 95 as measured by a Shore A scale ranging from zero to one hundred.

 13. The gasket of claim 12, wherein said sealing material has a durometer of about 55-70.

30 14. The gasket of claim 1, wherein said first strip and said spoke comprise a single, substantially flat piece of sealing material.

5 15. The gasket of claim 1, wherein said first strip and said spoke are made of a substantially flat, chemically inert and compressible sealing material.

10 16. The gasket of claim 15, wherein said sealing material is polytetrafluoroethylene (PTFE).

 17. The gasket of claim 15, wherein said sealing material is fluoroelastomer (FFM).

 18. The gasket of claim 15, wherein said sealing material is ethylene propylene rubber (EPR).

15 19. The gasket of claim 15, wherein said sealing material is polyvinylidene fluoride (PVDF).

 20. The gasket of claim 1, wherein said sealing material is neoprene (CR).

20 21. The gasket of claim 1, wherein said first strip and said spoke have a substantially uniform thickness between the inner periphery of the flanges and outer periphery of the flanges.

 22. A gasket for providing a seal at the joint between a pair of pipe flanges for
25 connecting one flange to the other, each flange having an inner periphery and an outer periphery,
 the joint having a plurality of fasteners disposed around the flanges, comprising:

 at least one strip of sealing material formed in a substantially continuous loop having a
 predetermined shape, each of said strips having an inner periphery and an outer
30 periphery, at least one of said inner and outer peripheries being substantially
 congruent with one of the peripheries of at least one flange; and

5 at least one notch formed in the outer periphery of at least one strip of sealing material so as to receive a thickness gauge.

23. The gasket of claim 22, wherein there are a plurality of strips of sealing material, at least two of said strips being substantially concentric.

10 24. The gasket of claim 22, wherein said outer periphery having said notch is partially rectilinear.

15 25. The gasket of claim 22, comprising a first strip of sealing material having said inner periphery substantially congruent with the inner periphery of at least one flange, and a second strip of sealing material having an outer periphery with each said notch formed therein, and a plurality of spokes of sealing material, each of said spokes disposed between and attached to said first strip and said second strip.

20 26. The gasket of claim 25, further comprising, between said first strip of sealing material, said second strip of sealing material and two or more of said spokes, sealing material significantly thinner than that which forms said first strip, said second strip and said spokes.

25 27. The gasket of claim 25, wherein there are a plurality of said notches, and said spokes extend between said strips at the location of said notches.

28. The gasket of claim 25, wherein the inner periphery of said second strip includes a convexity opposite the location of each said notch.

30 29. A gasket for providing a seal at the joint between a pair of pipe flanges for connecting one flange to the other, each flange having an inner periphery of known size and an

5 outer periphery of known size and shape, and a plurality of fasteners disposed around said flanges, comprising:

10 (a) a first strip of sealing material formed in a substantially continuous loop having a predetermined shape, said strip having an outer periphery whose size is greater than the size of the inner periphery of at least one flange;

15 (b) a second strip of sealing material formed in a substantially continuous loop having a predetermined shape, said second strip having an inner periphery whose size is greater than the size of said outer periphery of said first strip and less than the size of the outer periphery of at least one flange; and

20 (c) a plurality of spokes of sealing material, each disposed between and attached to said first strip and said second strip, and extending between said first strip and said second strip, said sealing material being resilient and the hardness of said sealing material being less than the hardness of at least one of the flanges so that said sealing material compresses when the flanges are drawn together and expands to maintain a seal when the space between the flanges increases.

25 30. The gasket of claim 29, further comprising, between said first strip of sealing material, said second strip of sealing material and two or more of said spokes, sealing material significantly thinner than that which forms said first strip, said second strip and said spokes.

30 31. The gasket of claim 29, further comprising a tab extending beyond said outer peripheries of the flanges.

5 32. The gasket of claim 29, further comprising a third strip of sealing material formed
in a substantially continuous loop having a predetermined shape, said third stripe being disposed
between said first strip and said second strip and having an inner periphery whose size is greater
than the size of said outer periphery of said first strip and an outer periphery whose size is less
than the size of said inner periphery of said second strip, said outer periphery of said second strip
10 being substantially congruent with the outer periphery of a first flange and said outer periphery of
said third strip being substantially congruent with the outer periphery of a second flange.

15 33. The gasket of claim 29 wherein said sealing material has the property that it
compresses in the direction of applied compressive force without substantial expansion lateral
thereto.

 34. The gasket of claim 29 wherein said sealing material has a durometer less than 95 a
as measured by a durometer having a Shore A scale ranging from zero to one hundred.

20 35. An assembly, comprising:

(a) a first flange having an inner periphery and an outer periphery;

25 (b) a second flange, having an inner periphery and an outer periphery, disposed
substantially adjacent and parallel to said first flange, thereby defining a joint
between said first flange and said second flange;

30 (c) a first strip of sealing material formed in a substantially continuous loop having a
predetermined shape, said strip having an outer periphery whose size is greater
than the size of said inner periphery of at least one of said flanges and being
disposed between said first flange and said second flange;

5

36. A gasket for providing a seal at the joint between a pair of pipe flanges for connecting one flange to the other, each flange having an inner periphery and an outer periphery, the joint having a plurality of fasteners disposed around said flanges, comprising:

10

a first strip of sealing material formed in a substantially-continuous loop having a predetermined shape, said first strip having an outer periphery whose size is greater than the size of the inner periphery of at least one flange;

15

a second strip of sealing material formed in a substantially-continuous loop having a predetermined shape, said second strip having an inner periphery whose size is greater than said outer periphery of said first strip and less than the outer periphery of said flanges; and

20

intermediate sealing material disposed between said first strip and said second strip, said intermediate sealing material being significantly thinner than said first strip and said second strip.

25

37. The gasket of claim 36, further comprising at least one spoke of sealing material extending between and attached to said first strip and said second strip, said first strip, second strip and spokes being of substantially uniform thickness.

30

38. The gasket of claim 36, further comprising a plurality of spokes of sealing material, each extending between and attached to said first strip and said second strip, said first strip, second strip and spokes being of substantially uniform thickness.

5 39. The gasket of claim 38, wherein said first strip, said second strip and said spokes have substantially uniform thickness from a boundary inside said outer periphery of said first strip to a boundary outside said inner periphery of said second strip.

10 40. A method for assembling a pair of pipe flanges, comprising the steps of:

(a) placing the flanges adjacent one another in substantially parallel relation;

(b) placing between the flanges a gasket, said gasket having:

15 (i) a first strip of sealing material formed in a substantially continuous loop having a predetermined shape, said strip having an outer periphery whose size is greater than the size of the inner periphery of at least one of the flanges;

20 (ii) a second strip of sealing material formed in a substantially continuous loop having a predetermined shape, said strip having an inner periphery whose size is greater than the size of said outer periphery of said first strip and less than the size of the outer periphery of at least one of the flanges; and

25 (iii) a plurality of spokes of sealing material, each disposed between and attached to said first strip and said second strip, and extending between said first strip and said second strip;

(c) placing a plurality of fasteners around the flanges; and

30 (d) forcibly drawing the flanges together, said sealing material being resilient and the hardness of said sealing material being less than the hardness of at least one of the

5 flanges so that said sealing material compresses when the flanges are drawn
together and expands to maintain a seal when the space between the flanges
increases.

10 41. The method of claim 40 further comprising the step of selecting sealing material
having the property that it compresses in the direction of applied compressive force without
substantial expansion lateral thereto.

15 42. The method of claim 40 further comprising the step of selecting sealing material
having a durometer less than 95 as measured by a durometer having a Shore A scale ranging from
zero to one hundred.

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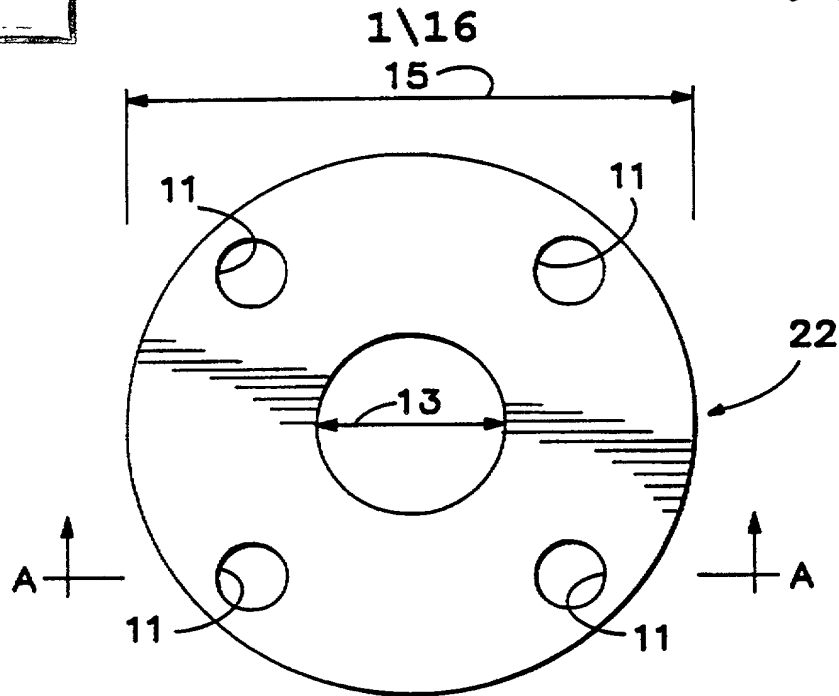


Fig. 1
(PRIOR ART)

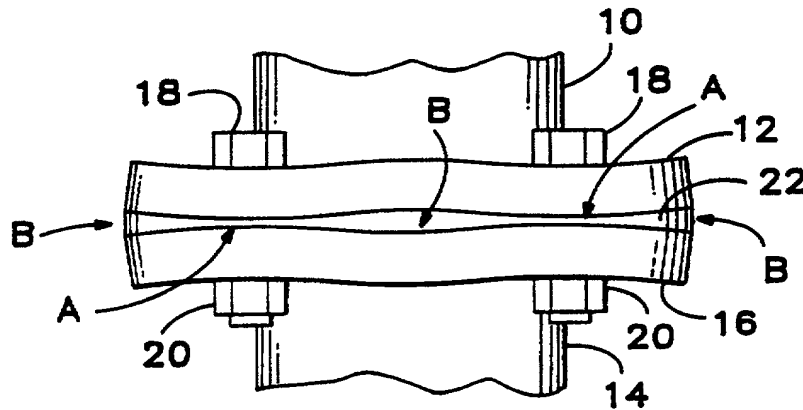


Fig. 2
(PRIOR ART)

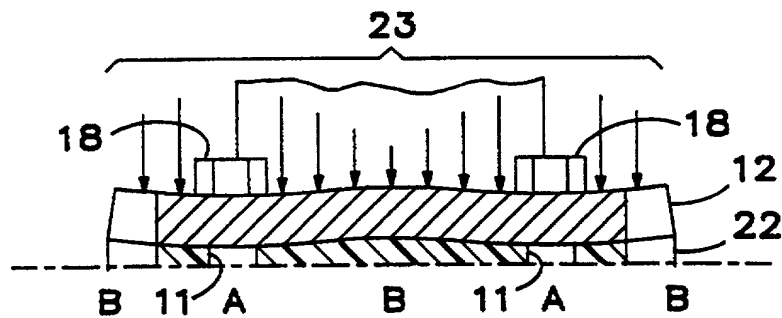


Fig. 3
(PRIOR ART)

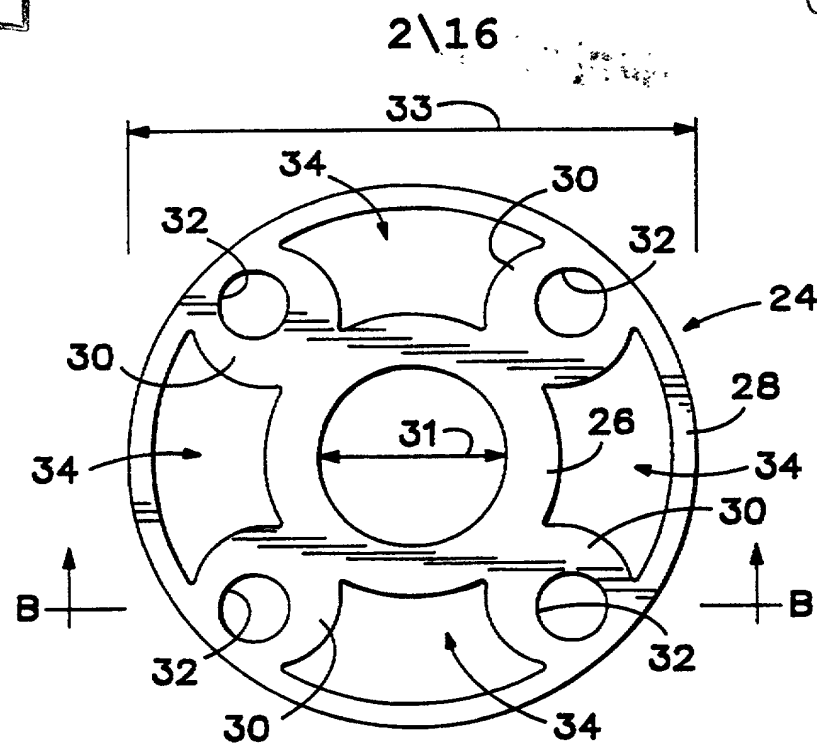


Fig. 4

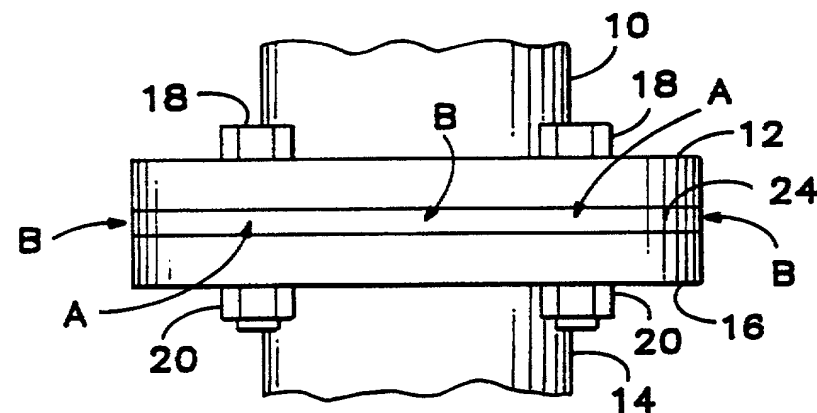


Fig. 5

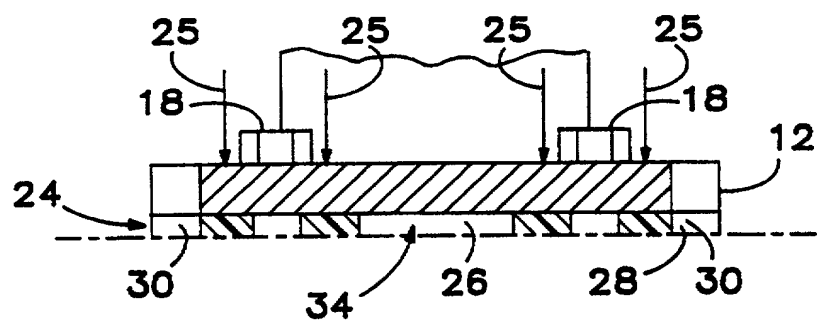


Fig. 6

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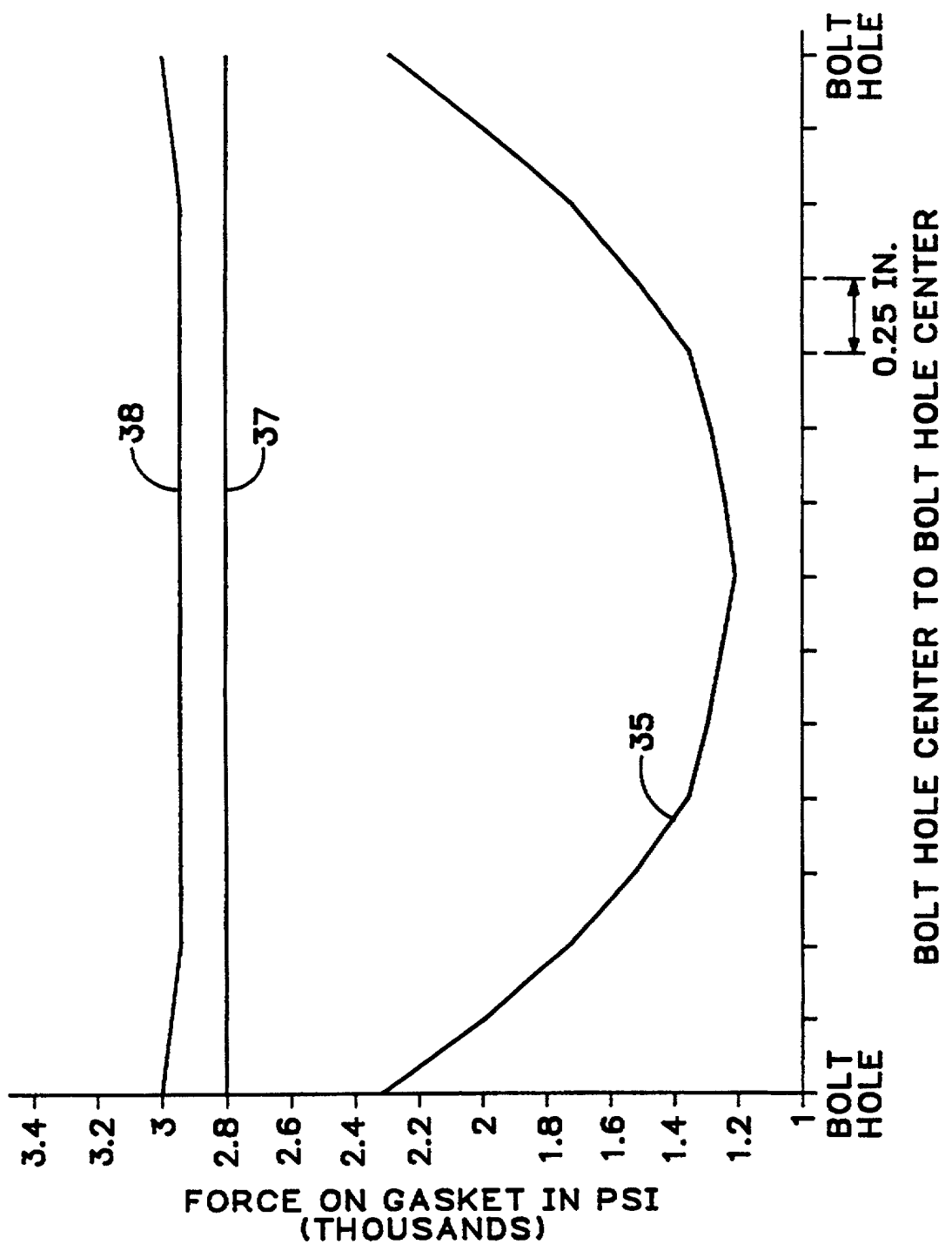
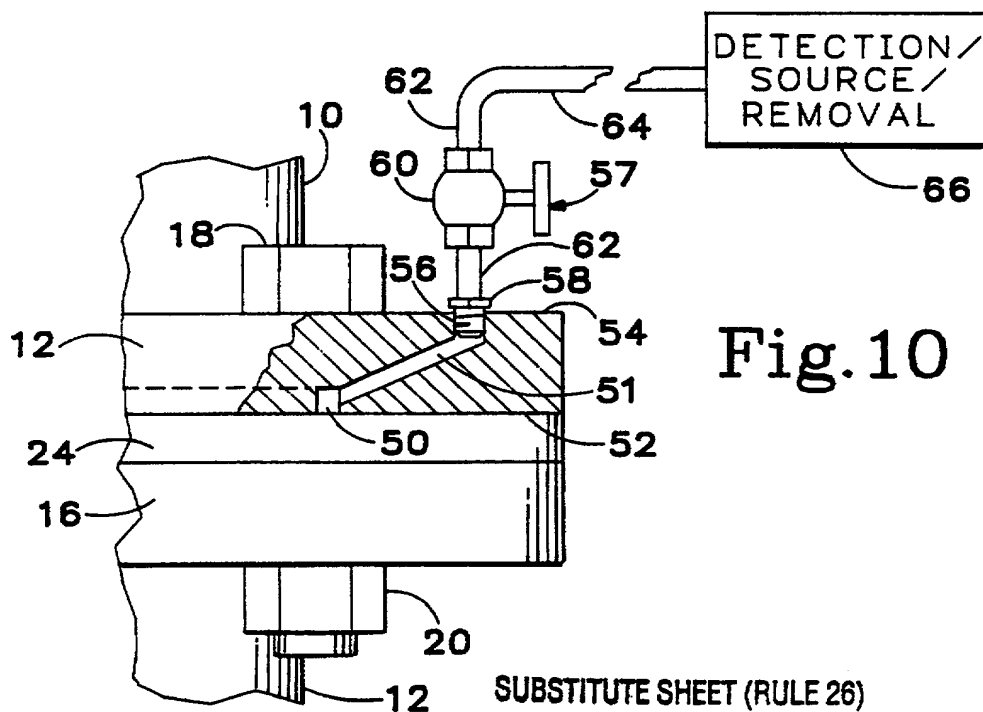
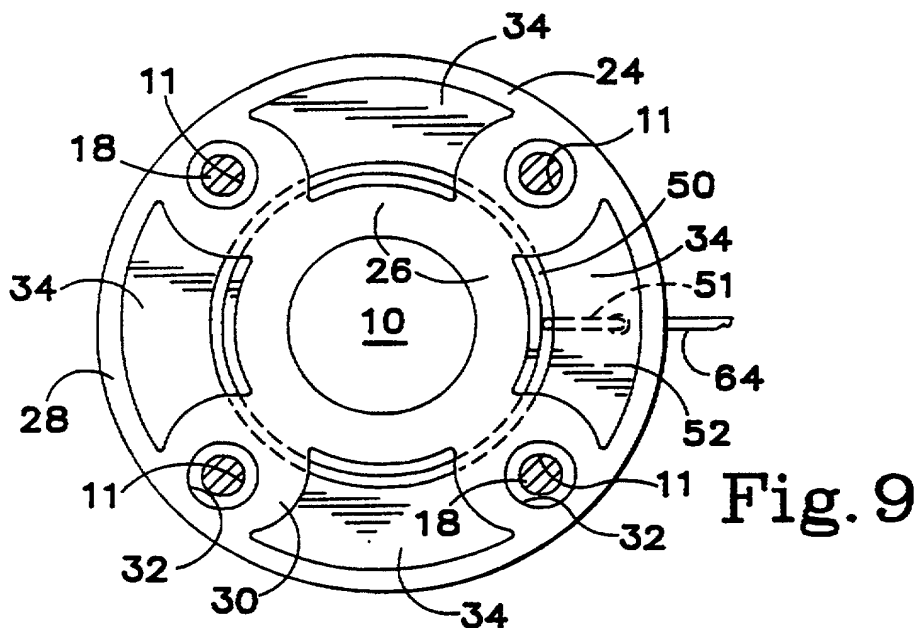
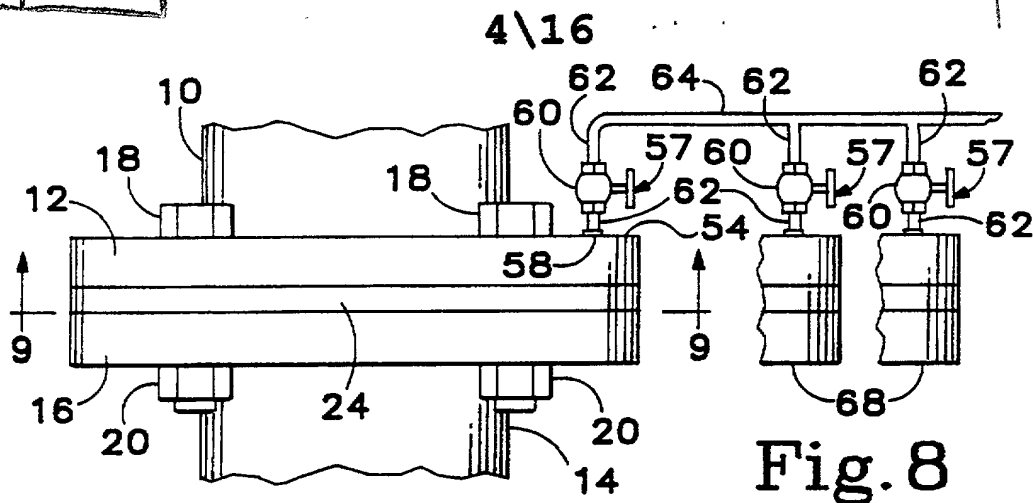


Fig. 7

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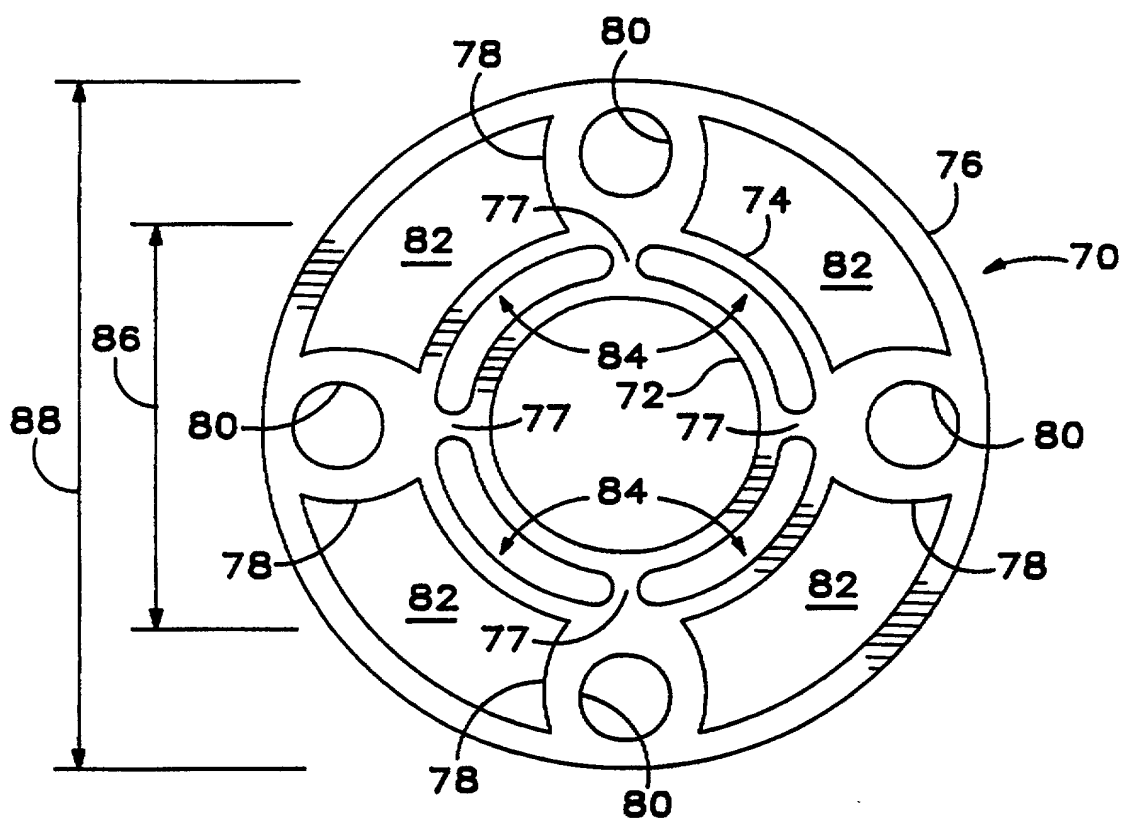


Fig. 11

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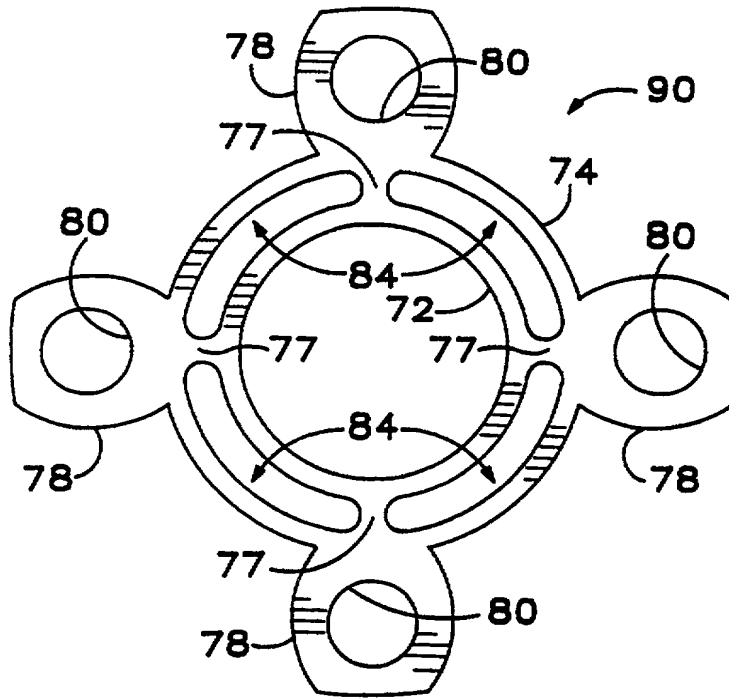


Fig. 12

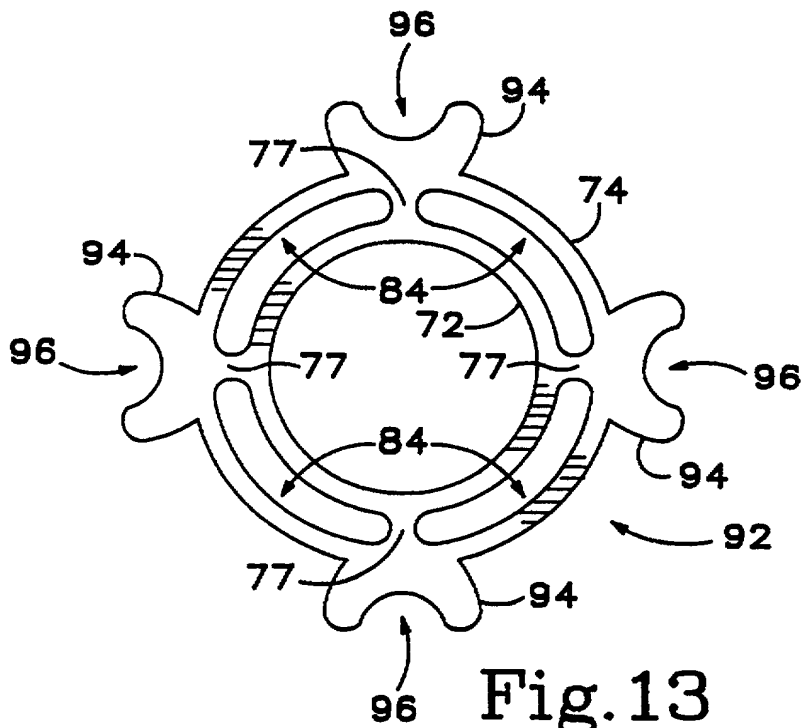


Fig. 13

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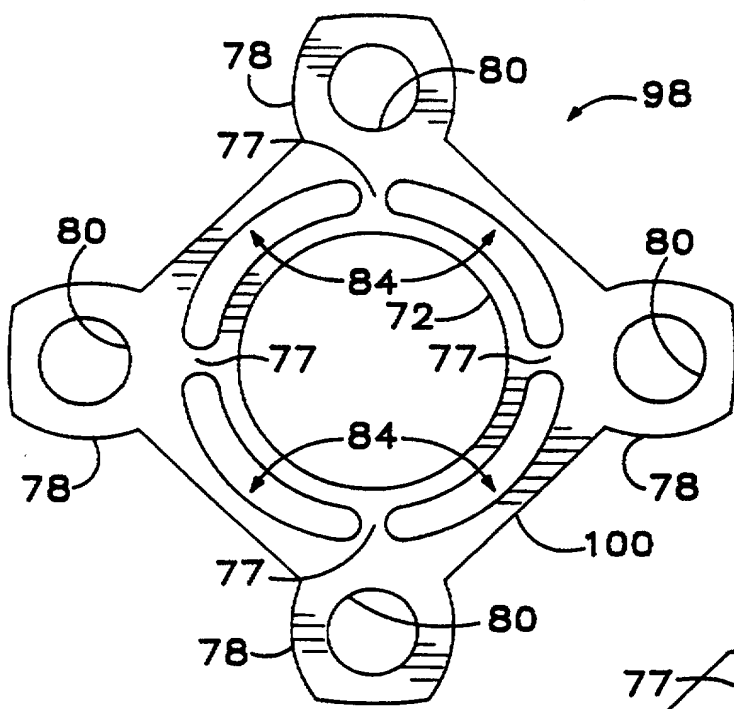


Fig. 14

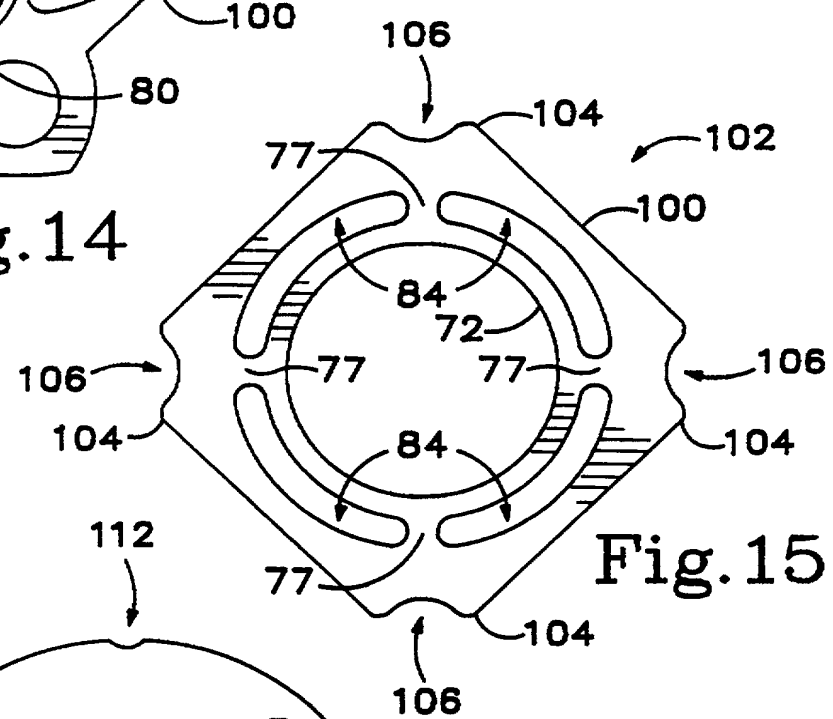


Fig. 15

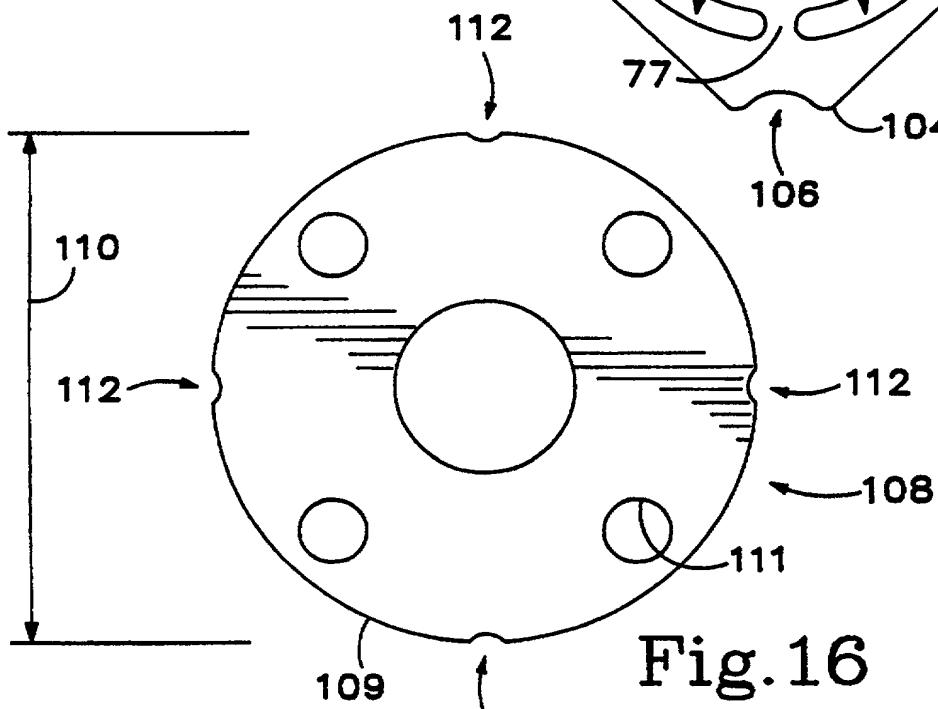


Fig. 16

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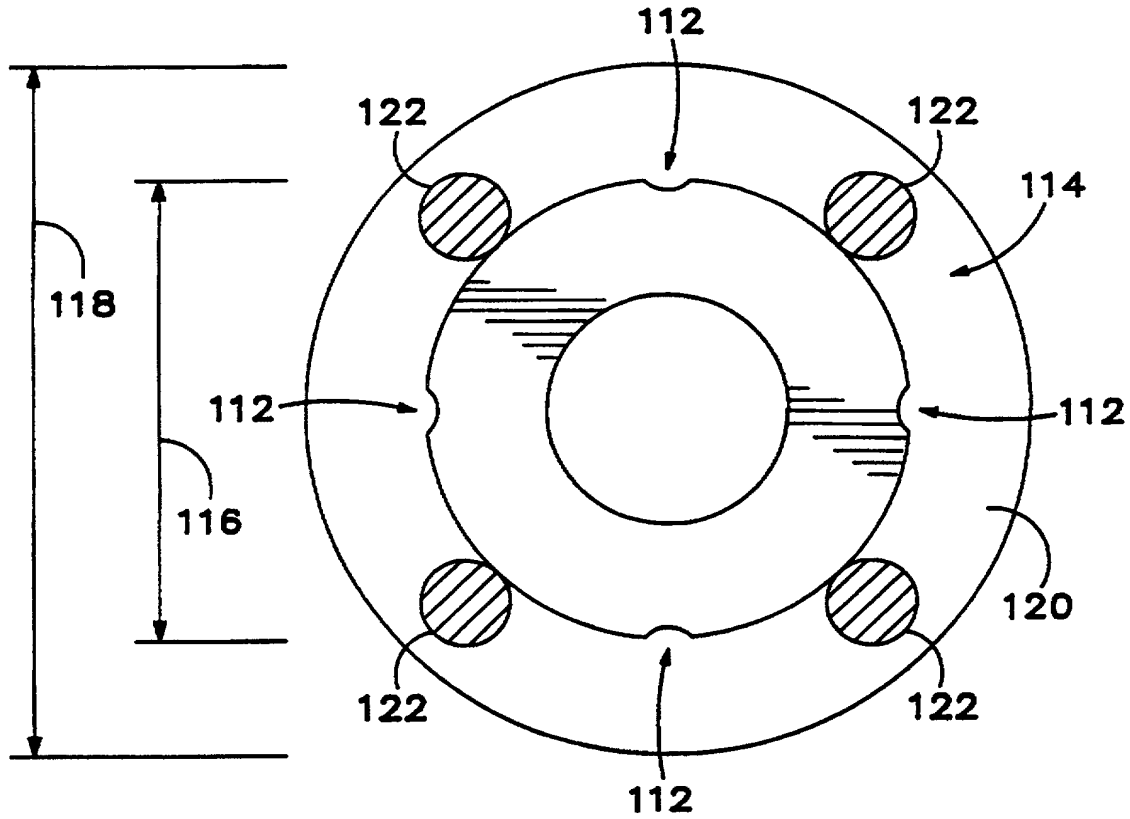
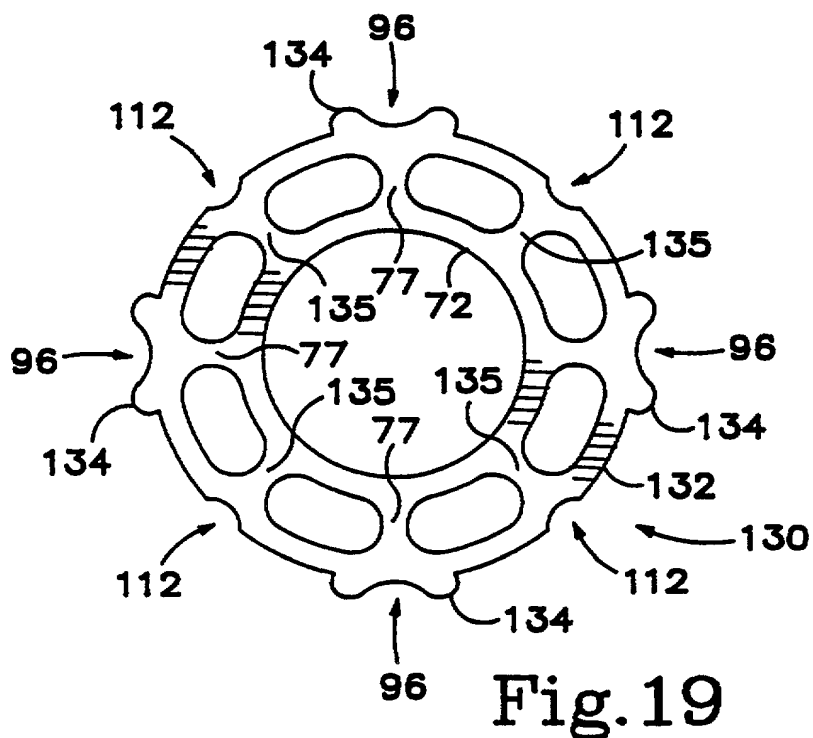
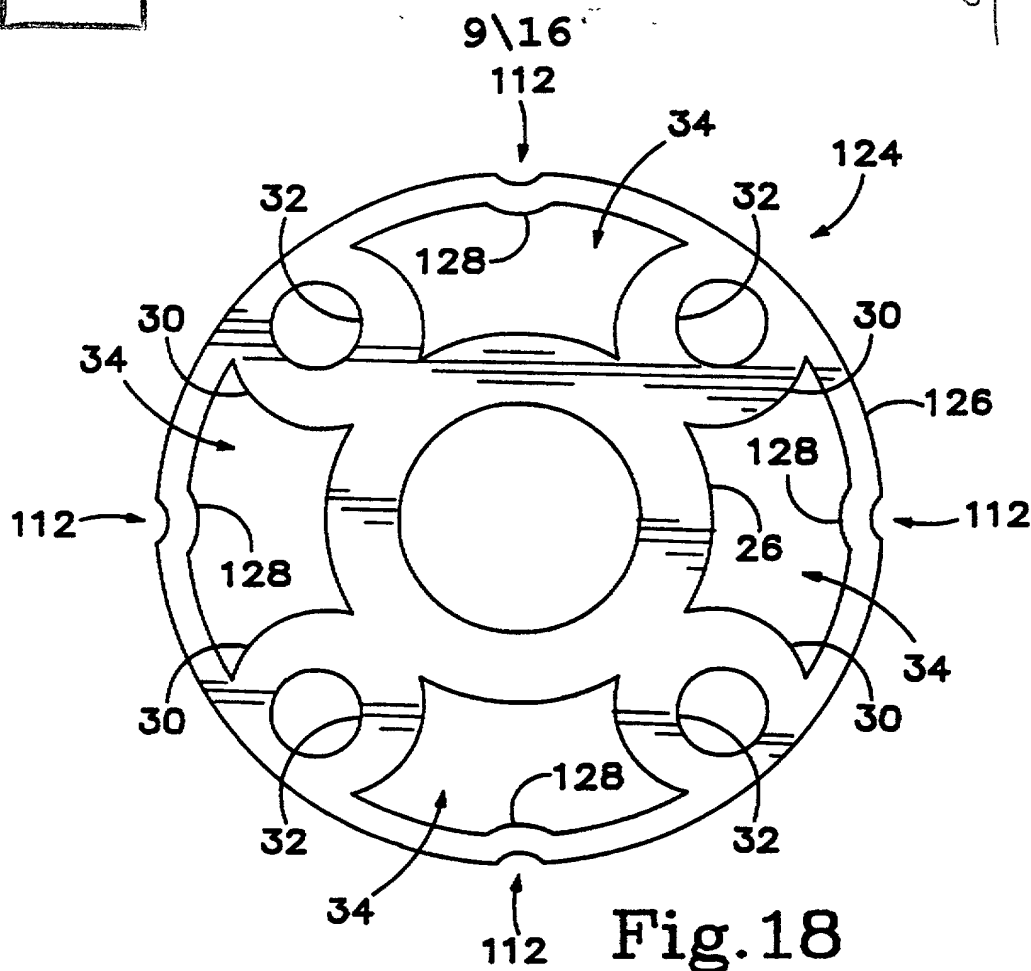


Fig.17

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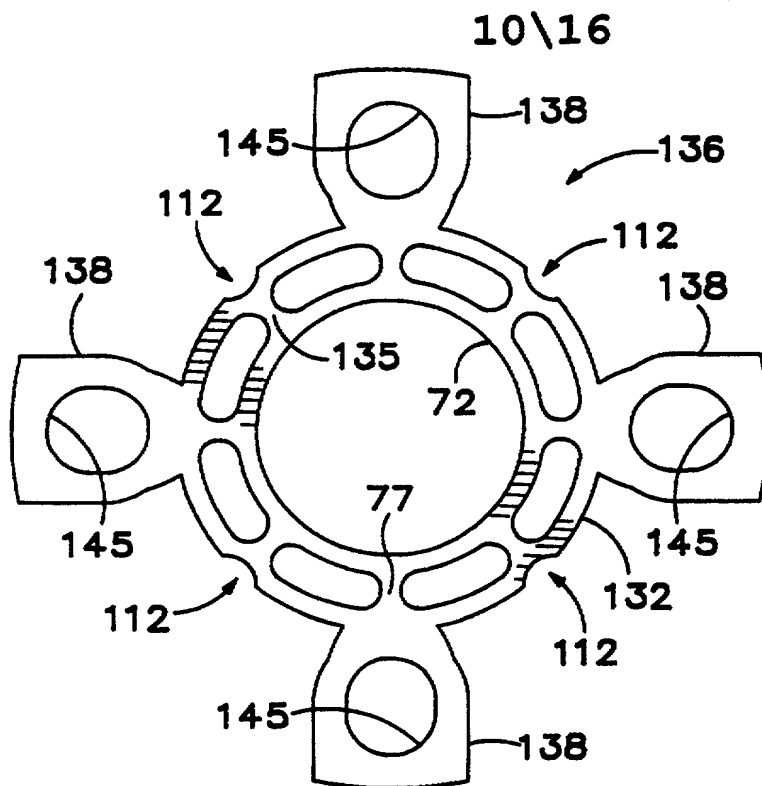


Fig. 20

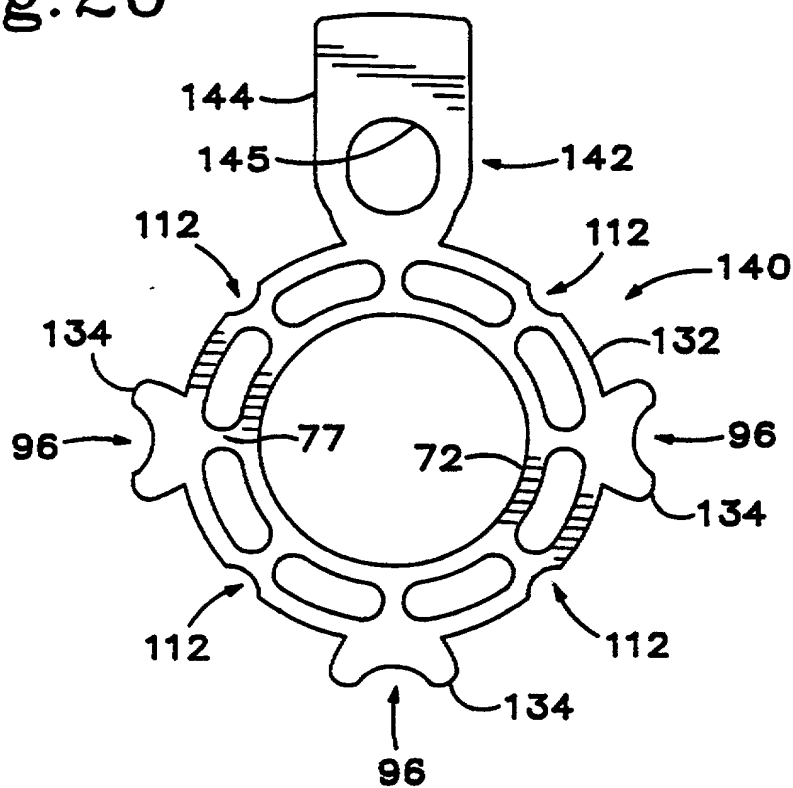


Fig. 21

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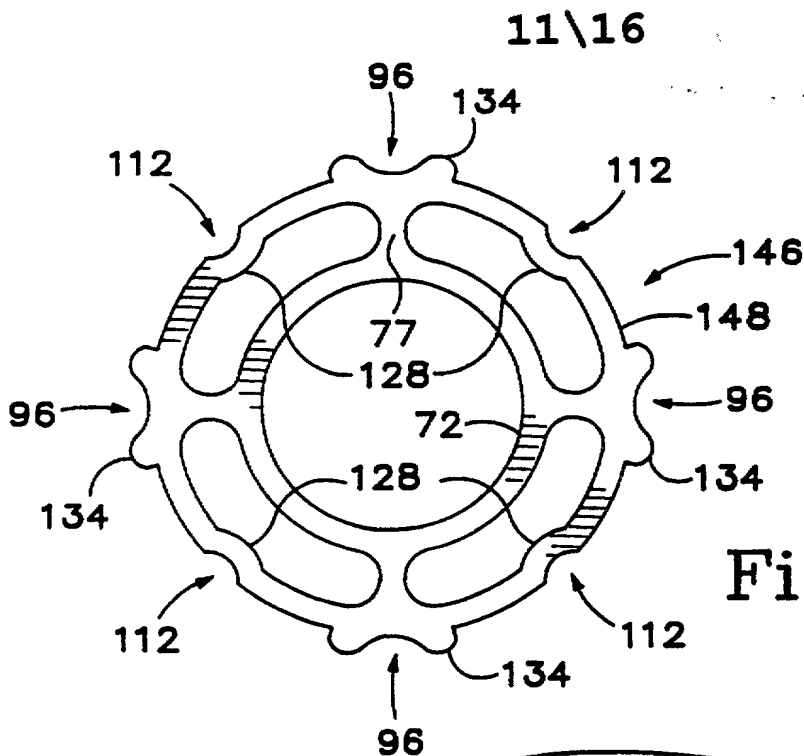


Fig. 22

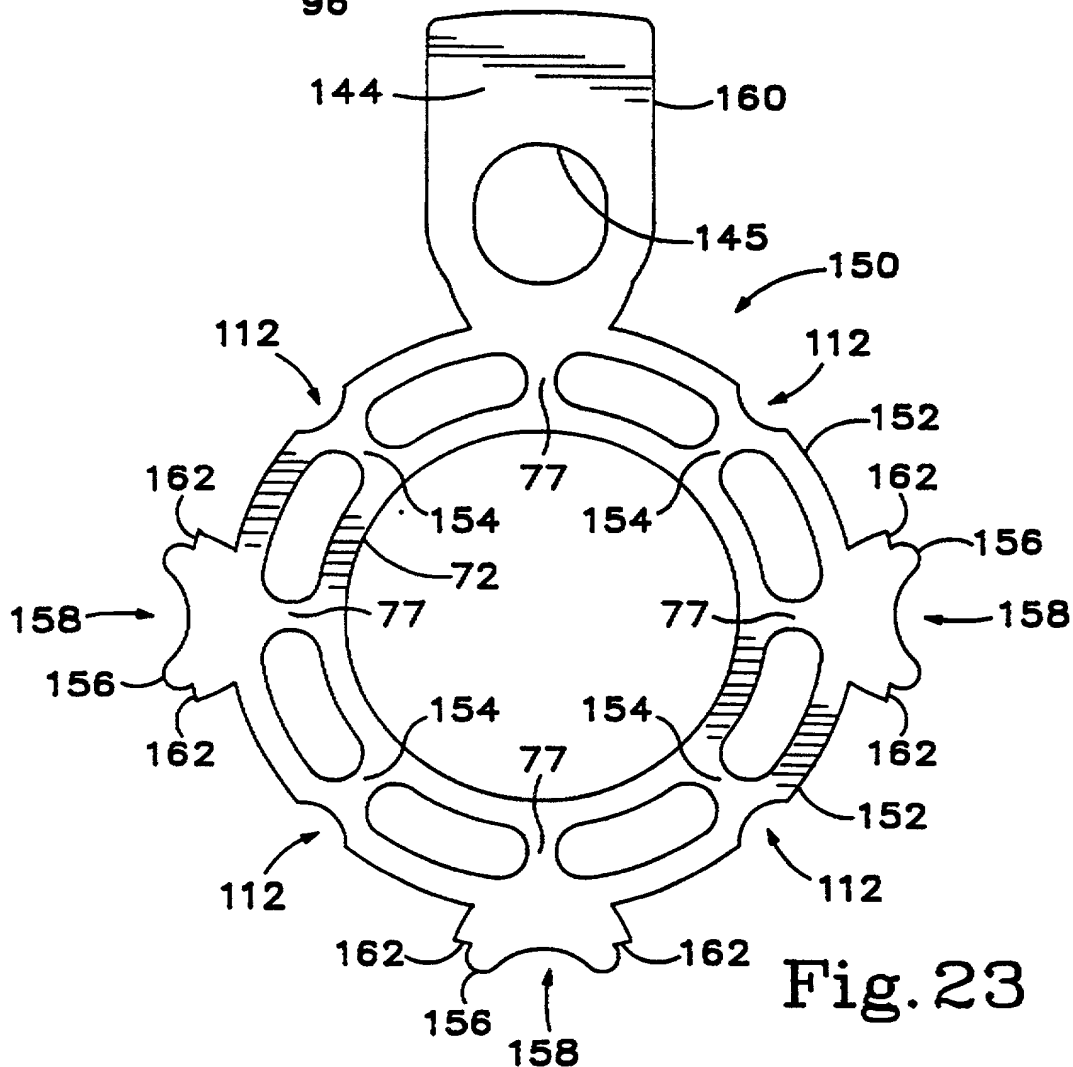


Fig. 23

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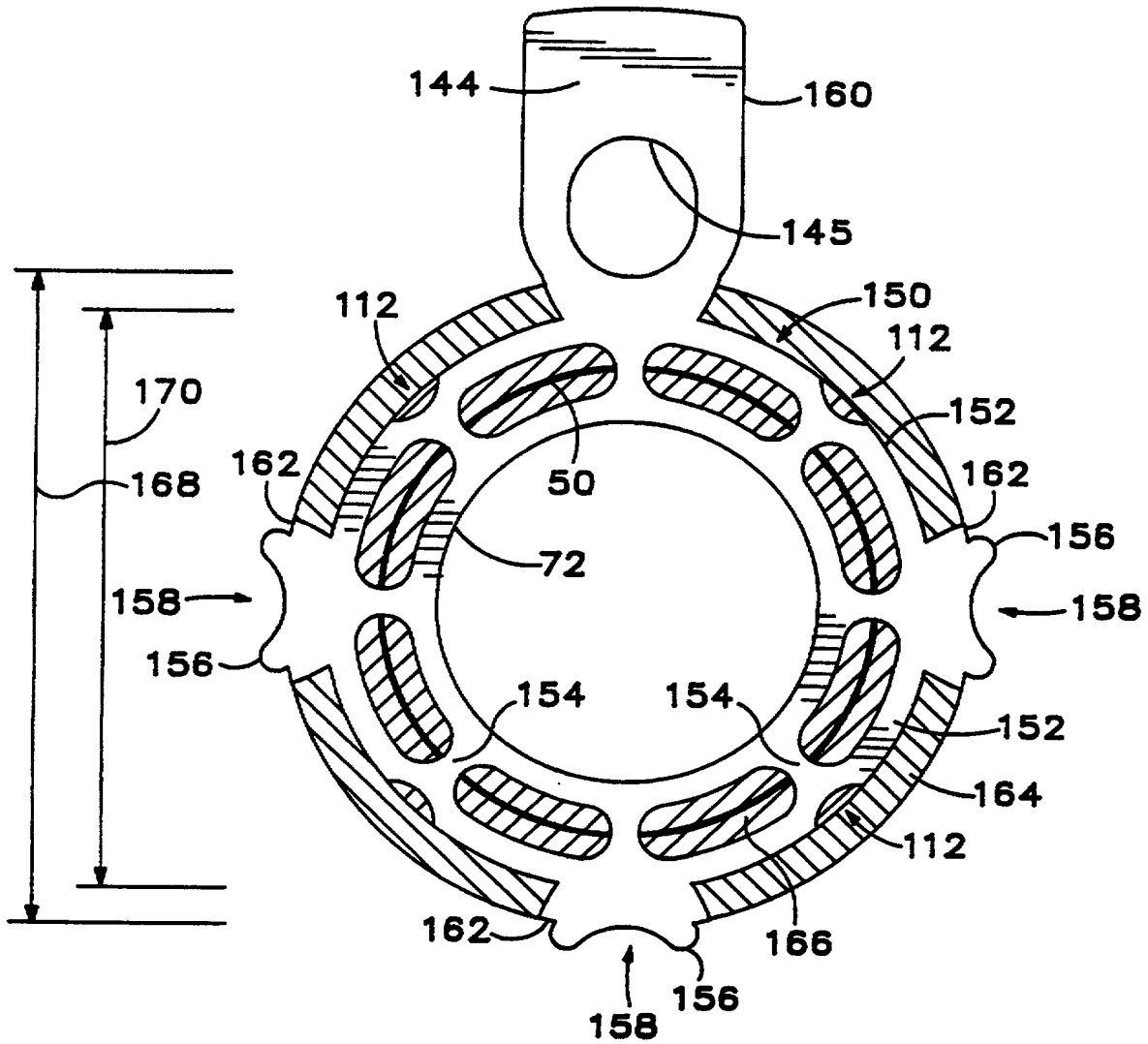


Fig. 24

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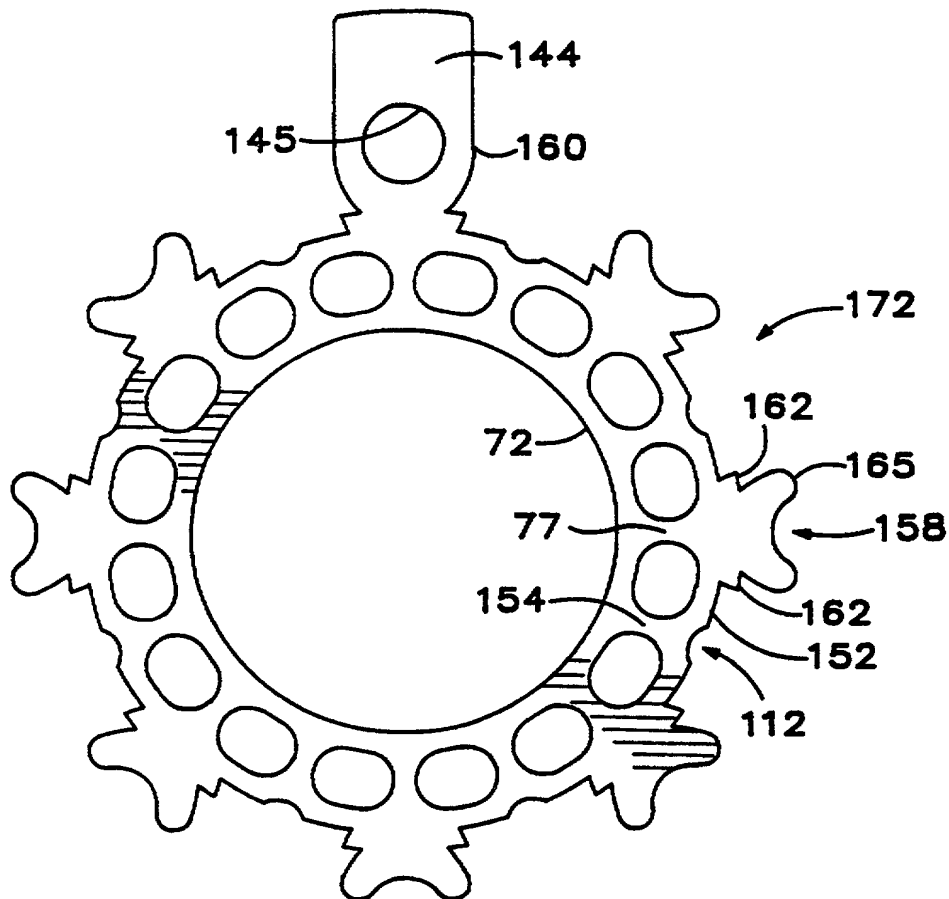


Fig. 25

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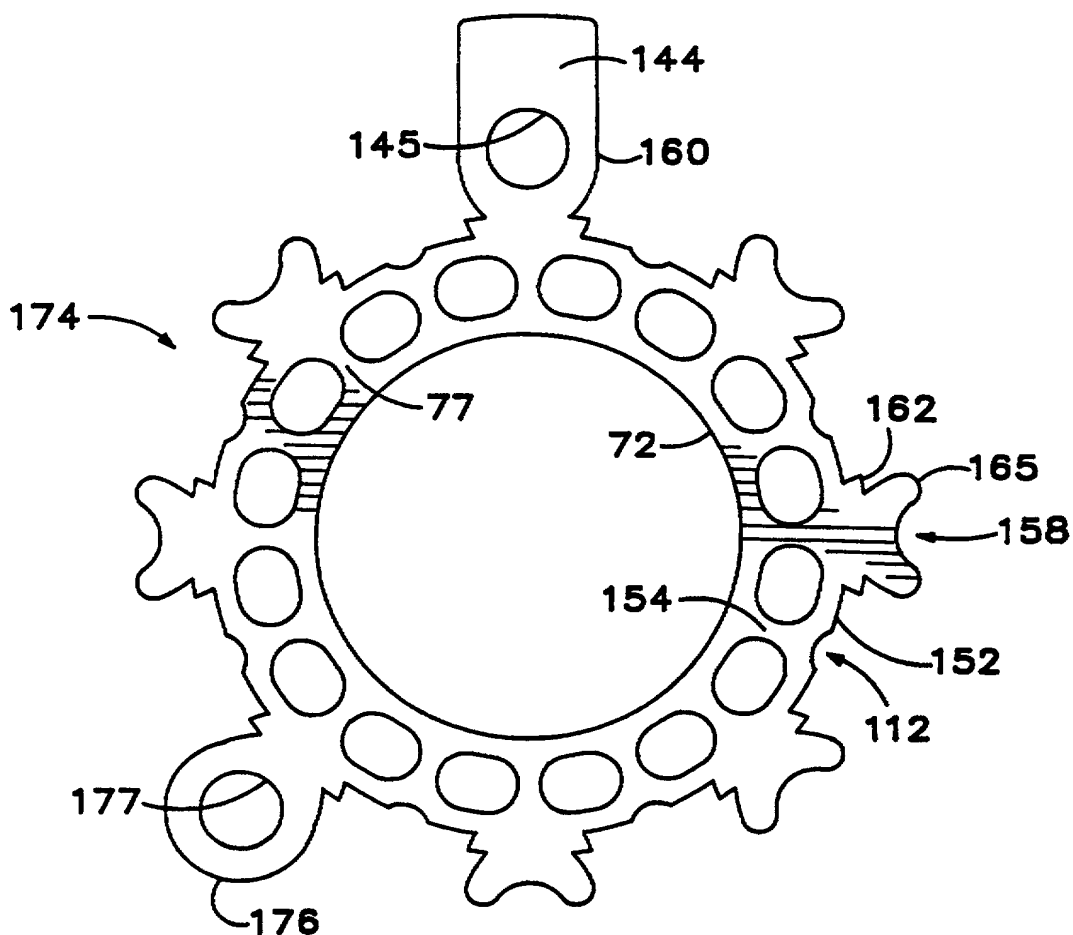


Fig. 26

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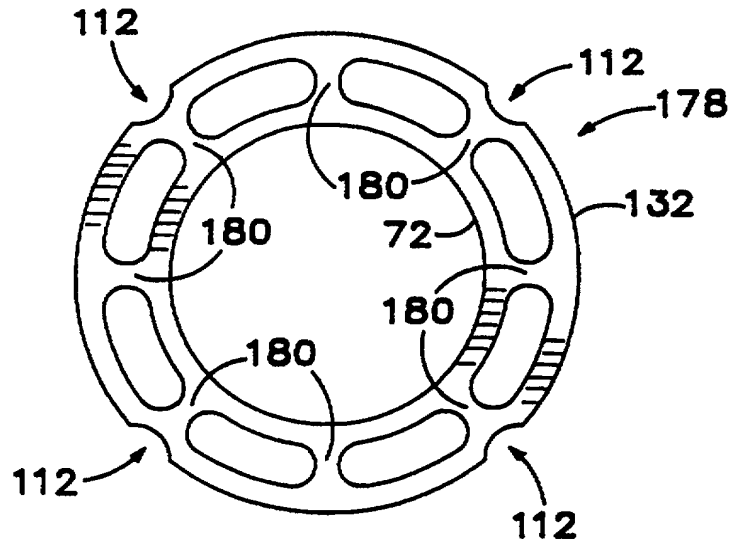


Fig. 27

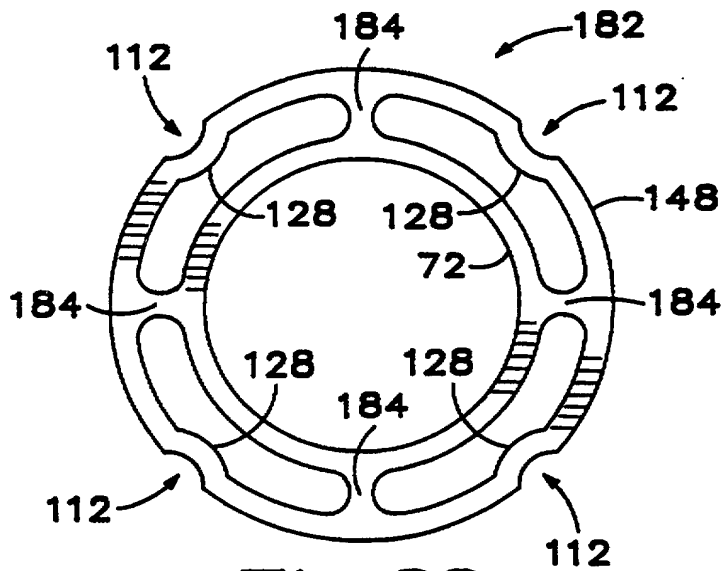


Fig. 28

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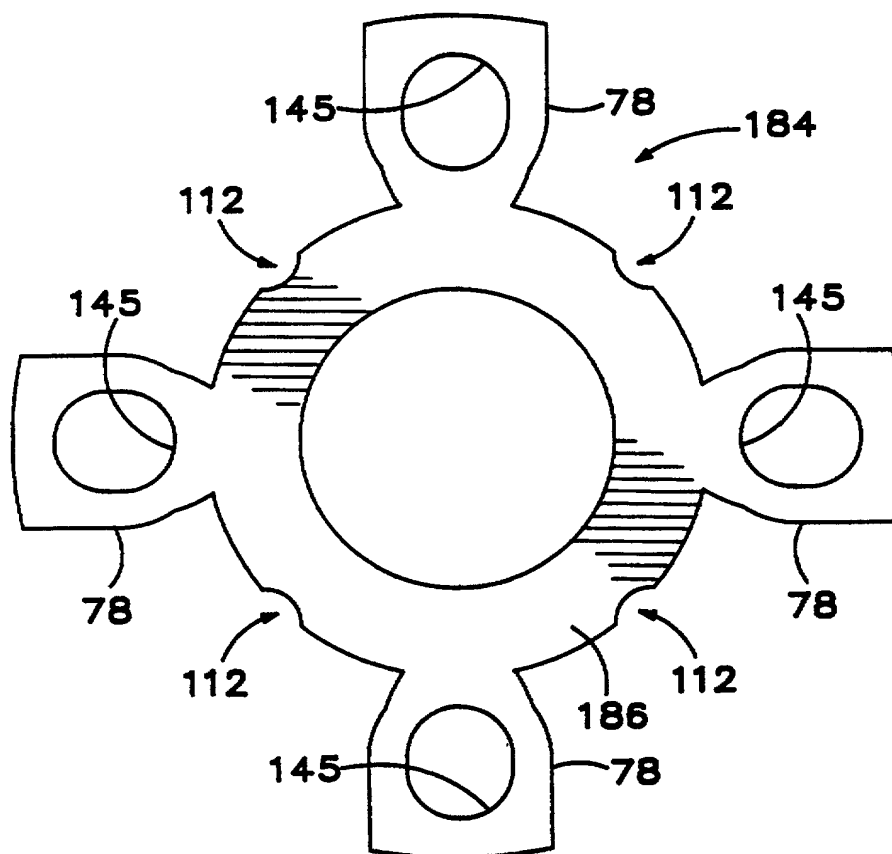


Fig. 29

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing

Attorney Docket Number P 97 104.024

First Named Inventor Ronald L. Carr

COMPLETE IF KNOWN

Application Number PCT/US94/08087

Filing Date July 13, 1994

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

JOINT ASSEMBLY EMPLOYING MULTI-RING GASKET

(Title of the Invention)

the specification of which

☐ is attached hereto
OR

☒ was filed on (MM/DD/YYYY) 07/13/1994 as United States Application Number or PCT International

Application Number PCT/US94/08087 and was amended on (MM/DD/YYYY) 11/13/1995 & 6/03/1997 (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☒ Registered practitioner(s) name/registration number listed below

Place Customer Number Bar Code Label here

Name	Registration Number	Name	Registration Number
William A. Birdwell	27,181	Garth Janke	40,662

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number OR ☒ Correspondence address below

Name	<u>William A. Birdwell & Associates</u>				
Address	<u>900 S.W. Fifth Avenue</u>				
Address	<u>Suite 1925</u>				
City	<u>Portland</u>	State	<u>OR</u>	ZIP	<u>97204</u>
Country	<u>USA</u>	Telephone	<u>503-228-1841</u>	Fax	<u>503-228-2635</u>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☒ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])	Family Name or Surname
<u>Ronald L.</u>	<u>Carr</u>

Inventor's Signature	<u>Ronald L Carr</u>			Date	<u>10-30-97</u>
Residence: City	<u>Kelso</u>	State	<u>WA</u>	Country	<u>USA</u>
Post Office Address	<u>1807 Baker Way Loop</u>				
Post Office Address	<u>Suite 100</u>				
City	<u>Kelso</u>	State	<u>WA</u>	ZIP	<u>98626</u>
				Country	<u>USA</u>

☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR**

Docket Number (Optional)

P 97 194.024

Applicant or Patentee: Ronald L. Carr

Application or Patent No.: PCT/US94/08087

Filed or Issued: July 13, 1994

Title: JOINT ASSEMBLY EMPLOYING MULTI-RING GASKET

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ No such person, concern, or organization exists.
☒ Each such person, concern, or organization is listed below.

KC Multi-Ring Products, Inc.

Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Ronald L. Carr

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

Ronald L. Carr
Signature of inventor

Signature of inventor

Signature of inventor

10-30-97
Date

Date

Date

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

Docket Number (Optional)
P 97 194,024

Applicant or Patentee: **Ronald L. Carr**
Application or Patent No.: **PCT/US94/08087**
Filed or Issued: **July 13, 1994**
Title: **JOINT ASSEMBLY EMPLOYING MULTI-RING GASKET**

I hereby declare that I am
☒ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN **KC Multi-Ring Products, Inc.**

ADDRESS OF SMALL BUSINESS CONCERN **1807 Baker Way Loop, #100
Kelso, WA 98626**

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

- Each person, concern, or organization having any rights in the invention is listed below:
☐ no such person, concern, or organization exists.
☒ each such person, concern, or organization is listed below.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

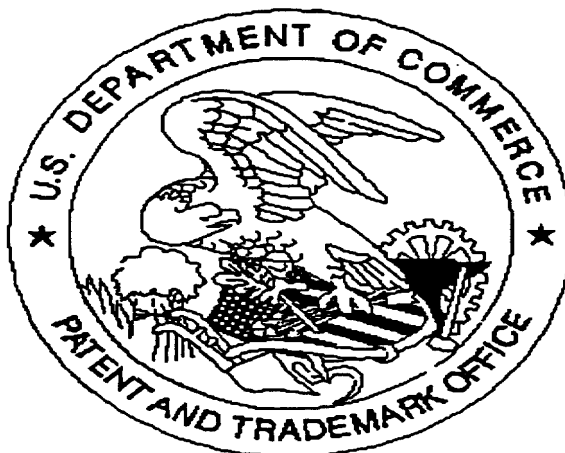
NAME OF PERSON SIGNING **Ronald L. Carr**

TITLE OF PERSON IF OTHER THAN OWNER

ADDRESS OF PERSON SIGNING **1807 Baker Way Loop #100 Kelso, WA 98626**

SIGNATURE *Ronald L. Carr* DATE **10-30-97**

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